



Radiobiological analysis of VMAT treatment plan with flattened and flattening filter free photon beam: an EUD and TCP based comparative study

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ABSTRACT

Background: This study aimed to evaluate the dosimetric and radiobiological differences between 6MV flattened filter (FF) and flattening filter free (FFF) using volumetric modulated arc (VMAT) technique for head and neck (H&N) cancer patients.

Materials and methods: Fifteen patients with H&N carcinoma were selected and treated with VMAT with FF (VMAT_{FF}) treatment plan. Retrospectively, additional VMAT treatment plans were developed using FFF beams (VMAT_{FFF}). Radiobiological parameters, such as equivalent uniform dose (EUD), tumor cure probability (TCP), and normal tissue complication probability (NTCP), were calculated using Niemierko's model for both VMAT_{FF} and VMAT_{FFF}. Correlation between dosimetric and radiobiological data were analyzed and compared.

Results: The conformity index (CI) was 0.975 ± 0.014 (VMAT_{FF}) and 0.964 ± 0.019 (VMAT_{FFF}) with $p \geq 0.05$. Statistically, there was an insignificant difference in the planning target volume (PTV) results for TCP (%) values, with values of $81.20 \pm 0.88\%$ (VMAT_{FF}) and $81.01 \pm 0.92\%$ (VMAT_{FFF}). Similarly, there was an insignificant difference in the EUD (Gy) values, which were 71.53 ± 0.33 Gy (VMAT_{FF}) and 71.46 ± 0.34 Gy (VMAT_{FFF}). The NTCP values for the spinal cord, left parotid, and right parotid were $6.54 \times 10^{-07}\%$, 8.04%, and 7.69%, respectively, in the case of VMAT_{FF}. For VMAT_{FFF}, the corresponding NTCP values for the spinal cord, parotids left, and parotid right were $3.09 \times 10^{-07}\%$, 6.57%, and 6.73%, respectively.

Conclusion: The EUD and Mean Dose to PTV were strongly correlated for VMAT_{FFF}. An increased mean dose to the PTV and greater TCP were reported for the VMAT_{FF}, which can enhance the delivery of the therapeutic dose to the target.

Key words: volumetric modulated arc therapy; tumor cure probability; normal tissue complication probability; equivalent uniform dose; flattened filter free

Rep Pract Oncol Radiother 2024;29(1):77-89

Introduction

Modern technological advancements have resulted in significant improvements in radiotherapy

planning and execution. Rapid Arc is a volumetric modulated arc treatment (VMAT) technique that produces modulated radiation beams by simultaneously altering the multi-leaf collimator

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(MLC) field aperture, dose rate, and gantry rotation speed. The primary objective of VMAT treatment is to minimize the dose to the organs at risk (OARs) while producing a conformal radiation dose distribution to the target [1, 2]. On the other hand, a number of variables, including the technique for treatment planning and the algorithms employed in Treatment Planning Systems (TPS), have an effect on dose distribution. Previous research has determined that VMAT stands out as the most efficient treatment technique across various clinical sites. It excels in terms of achieving superior dose conformity, sparing critical organs (OARs), and reducing treatment duration compared to other contemporary treatment methods [3]. The treatment planner meticulously developed the treatment plan using an iterative optimization procedure, taking into account various crucial variables, such as the number of beams, arc angles, collimator rotation, and dose restrictions. These considerations were critical when developing the approach that fit the essential therapeutic objectives. As a result, the VMAT planning optimization method enables the planner to generate a wide range of treatment plans. A comprehensive strategy comparison is used to select the best plan from among these. Notably, there is increased interest in TPS that use a radiobiological model for plan optimization and evaluation [4, 5].

The flattening filter's primary objective was to deliver flattened dose profiles at specified depths.

To minimize the scatter contribution from the flattening filter (FF), it would seem reasonable to remove the FF from the photon beam route [6–8]. Clinical linear accelerators (LINACs) operation in flattening filter-free (FFF) mode has increased in popularity since the creation of more modern options for treatment. Interest in the FFF mode of operation of LINAC has lately increased due to VMAT and other cutting-edge, effective treatment methods.

The forward peaked dose profile of the FFF beam is its most distinguishing feature [9–12]. Additionally, it has a higher dose rate than the flattened photon beam [13], lower doses for the OARs [14], and is less likely to contaminate neutrons for energy beams above 15 MV. As a result, the clinical use of an FFF photon beam for patient treatment will lead to a shorter treatment session, an increased surface dose and a decreased likelihood of

radiation-induced secondary cancer [15, 16]. Previous studies have shown that the VMAT treatment techniques are suitable for sites like the brain, head and neck (H&N), prostate, pelvis, etc. [17–19]. It has also been shown that when FFF beams are compared to FF, they create dose distributions comparable to those of FF with better OARs sparing and shorter treatment time [20, 21].

Most of these comparative studies have examined the high dose rate of the FFF beam compared to the FF beam in terms of time efficiency. There is a very limited study available on the comparison of physical dose indices to the radiobiological indices for H&N cases with VMAT treatment plans. The objective of this research is to compare the dosimetric and radiobiological characteristics of the FFF photon beam treatment plan and the FF photon beam treatment plan for squamous cell cancer using the VMAT planning technique. By comparing both the physical dose parameters and radiobiological parameters between the FF and FFF VMAT treatment plans, the study aimed to assess the overall plan quality, target coverage, and sparing of critical structures. This comprehensive evaluation allowed for a more thorough understanding of the differences and potential advantages between the two treatment techniques. Moreover, the study investigated the way these radiobiological estimates of Tumor Cure Probability (TCP) and Normal Tissue Complication Probability (NTCP) correlated with the physical dose metrics for the VMAT treatment plan with both FF and FFF photon beams.

Materials and methods

Patient simulation and selection

15 patients with squamous cell carcinoma were selected for our study from our institutional registered patient's database. All patients underwent treatment employing a 6 MV FF VMAT treatment plan on a Varian True Beam medical LINAC (Varian Medical Systems, Palo Alto, CA). The LINAC consists of up to 5 electron beams with energies of 6 MeV, 9 MeV, 12 MeV, 15 MeV, and 18 MeV as well as 6 MV, 10 MV, and 15 MV photon beams. There is also an FFF mode available for a 6 MV photon beam. For the FF and FFF photon modes, the highest dose rates of 600 MU/min and 1400 MU/min are possible. The MLC on the LINAC has 60 pairs,

Table 1. Biological Parameter used for equivalent uniform dose (EUD)-based calculation of tumor cure probability (TCP) and normal tissue complication probability (NTCP) for planning target volume (PTV) and various organs at risk (OARs)

Structure	Volume type	a	γ_{50}	TD ₅₀ [Gy]	TCD ₅₀ [Gy]	α/β [Gy]	End points
Target	Tumor	-13	3.2	-	63.8	10	
Spinal Chord	Normal	7.4	4	66.5	-	3	Myelitis/necrosis [24, 25]
Optic Nerve	Normal	25	3	65	-	3	Blindness [24, 25]
Lens	Normal	3	1	18	-	1.2	Cataract [24, 25]
Brainstem	Normal	7	3	65	-	3	Necrosis [24, 25]
Optic Chiasm	Normal	25	3	65	-	3	Blindness[24, 25]
Parotids	Normal	0.5	4	46	-	2	Xerostomia [24, 25]
Larynx	Normal	12.5	4	70	-	3	Laryngeal edema [24, 25]
Cochlea	Normal	31	3	65	-	3	Chronic serous Otitis [24, 25]

A — unit less parameter; γ_{50} — slope of dose response curve; TD₅₀ — normal tissue dose for 50% complication; TCD₅₀ — tumor dose for 50% TCP; α/β — alpha-beta ratio; Gy — Gray

$$\text{Conformity index (CI)} = V_{IR}/TV \quad (5)$$

where V_{IR} is the reference dose volume, and TV is the Total target volume.

CI value ranges have been defined in order to evaluate the degree of conformity. The theory suggests that the optimal CI value is 1. If the CI is between 1 and 2, the treatment is considered to be in compliance with the treatment plan. In 1993, RTOG provided rules for frequently assessing plans on a variety of various elements and the homogeneity index (HI). The idea of HI was developed based on the results of a dosimetric study of the proposed treatment [28].

$$\text{Homogeneity index (HI)} = I_{max}/RI \quad (6)$$

where I_{max} is the target’s maximal isodose and RI is the reference isodose.

If HI value is:

- $0 < HI \leq 2$ — no violation;
- $2 < HI \leq 2.5$ — a minor violation;
- $HI \geq 2.5$ — major violation.

Plans with various dose gradients but the same dose conformity can be compared using the dose gradient index (GI). The effectiveness of dose fall-off outside the PTV is assessed by GI. The dose GI is defined as the ratio of the volume getting the prescribed isodose line to the volume receiving half of the recommended isodose line (29).

$$\text{Dose gradient index (GI)} = D_{50\%}/D_{100\%} \quad (7)$$

where $D_{100\%}$ is volume of the prescribed dose and $D_{50\%}$ is volume of half the prescribed dose.

The unified dosimetry index (UDI) is a useful tool for selecting the most effective technique for a treatment plan. The CI, HI, GI, and C are the best parameters to assess the quality of a treatment plan. The UDI includes each of the four previously mentioned criteria [30]. Any one of the four components may experience changes that affect UDI’s value. A UDI number close to 1 is ideal; however, values above 1 are not taken into account.

$$UDI = CN \times CI \times HI \times GI \quad (8)$$

Statistical analysis

Microsoft Excel was used for the statistical calculations. The significance of the differences was determined using a Student’s paired t-test with two tails. The mean and 95% confidence interval for VMAT_{FF} and VMAT_{FFF} were assumed to be the same. Therefore, the differences between the two methods are statistically significant if the probability value (p) ≤ 0.05 .

Results

Both VMAT_{FF} and VMAT_{FFF} treatment plans were compared against each other on the basis of physical and radiobiological dose analysis using the DVH. All evaluation parameters had their mean and standard deviation given for all patients.

Table 3. Comparison of various dosimetric parameters for organs at risk (OARs) between flattened filter (FF) and flattened filter free (FFF) volumetric modulated arc therapy (VMAT) treatment plans

OARs	VMAT _{FF} (Mean ± SD) [Gy]	VMAT _{FFF} (Mean ± SD) [Gy]	p-value
Spinal cord (Max.)	31.92 ± 2.54	32.09 ± 1.96	0.72
Lens (L) (Max.)	3.92 ± 3.10	3.17 ± 2.65	0.47
Lens (R) (Max.)	3.80 ± 2.88	3.11 ± 2.53	0.42
Brainstem (Max.)	21.25 ± 13.65	20.39 ± 14.02	0.86
Optic chiasm (Max.)	13.13 ± 10.81	13.16 ± 10.27	0.92
Parotid (L) (mean)	29.45 ± 12.75	29.20 ± 12.90	0.95
Parotid (R) (mean)	25.90 ± 10.49	25.39 ± 10.55	0.90
Larynx (mean)	42.25 ± 4.72	42.09 ± 4.28	0.92
Cochlea (L) (mean)	11.96 ± 10.57	12.29 ± 9.83	0.87
Cochlea (R) (mean)	10.25 ± 9.87	10.68 ± 9.05	0.86

Max — maximum point dose inside the organ; Mean — average dose; L — left side; R — right side; VMAT_{FF} — VMAT plan with flattened filter photon beam; VMAT_{FFF} — VMAT plan with flattened filter free photon beam; SD — standard deviation

Table 4. Comparison of the equivalent uniform dose (EUD), normal tissue complication probability (NTCP) and Pearson correlation coefficient (r) values for different organs at risk (OARs)

OARs	Radiobiological parameter	VMAT _{FF} (Mean)	VMAT _{FFF} (Mean)	p-value	Pearson correlation coefficient (r)	
					VMAT _{FF}	VMAT _{FFF}
Spinal cord	EUD [Gy]	18.11	17.53	0.72	0.7589	0.761
	NTCP (%)	6.54 × 10 ⁻⁷	3.09 × 10 ⁻⁷	0.79		
Lens (L)	EUD [Gy]	1.57	1.29	0.64	0.9844	0.9435
	NTCP (%)	5.00 × 10 ⁻²	2.75 × 10 ⁻²	0.46		
Lens (R)	EUD [Gy]	1.58	1.18	0.48	0.9822	0.9773
	NTCP (%)	5.41 × 10 ⁻²	1.45 × 10 ⁻²	0.18		
Brainstem	EUD [Gy]	10.18	9.67	0.18	0.7376	0.7302
	NTCP (%)	2.73 × 10 ⁻⁵	2.53 × 10 ⁻⁵	0.91		
Optic chiasm	EUD [Gy]	7.16	6.88	0.95	0.7870	0.7971
	NTCP (%)	9.38 × 10 ⁻³	9.47 × 10 ⁻³	0.99		
Parotid (L)	EUD [Gy]	21.34	19.66	0.73	0.8127	0.7853
	NTCP (%)	8.04	6.57	0.81		
Parotid (R)	EUD [Gy]	21.62	20.32	0.78	0.8104	0.7822
	NTCP (%)	7.69	6.73	0.90		
Larynx	EUD [Gy]	53.32	52.69	0.11	0.7914	0.7524
	NTCP (%)	6.23	5.55	0.25		
Cochlea (L)	EUD [Gy]	33.59	32.5148	0.90	0.6426	0.7572
	NTCP (%)	3.63 × 10 ⁻²	2.45 × 10 ⁻²	0.83		
Cochlea (R)	EUD [Gy]	13.59	10.88	0.62	0.2355	0.4724
	NTCP (%)	6.64 × 10 ⁻²	3.39 × 10 ⁻²	0.35		

L — left side; R — right side; Gy — Gray; VMAT — volumetric modulated arc therapy; VMAT_{FF} — VMAT plan with flattened filter photon beam; VMAT_{FFF} — VMAT plan with flattened filter free photon beam

tively. For lenses, the average EUD values for Lens (L) in the VMAT_{FF} (1.57 Gy) plans were higher than in VMAT_{FFF} (1.28 Gy), with a statistically insignificant value (p = 0.64). The average NTCP val-

ues for the lens (L) in the VMAT_{FF} plans (0.050%) were higher than in the VMAT_{FFF} (0.027%) with insignificant p values (0.46). The average EUD values for Lens (R) in the VMAT_{FF} (1.58 Gy) plans

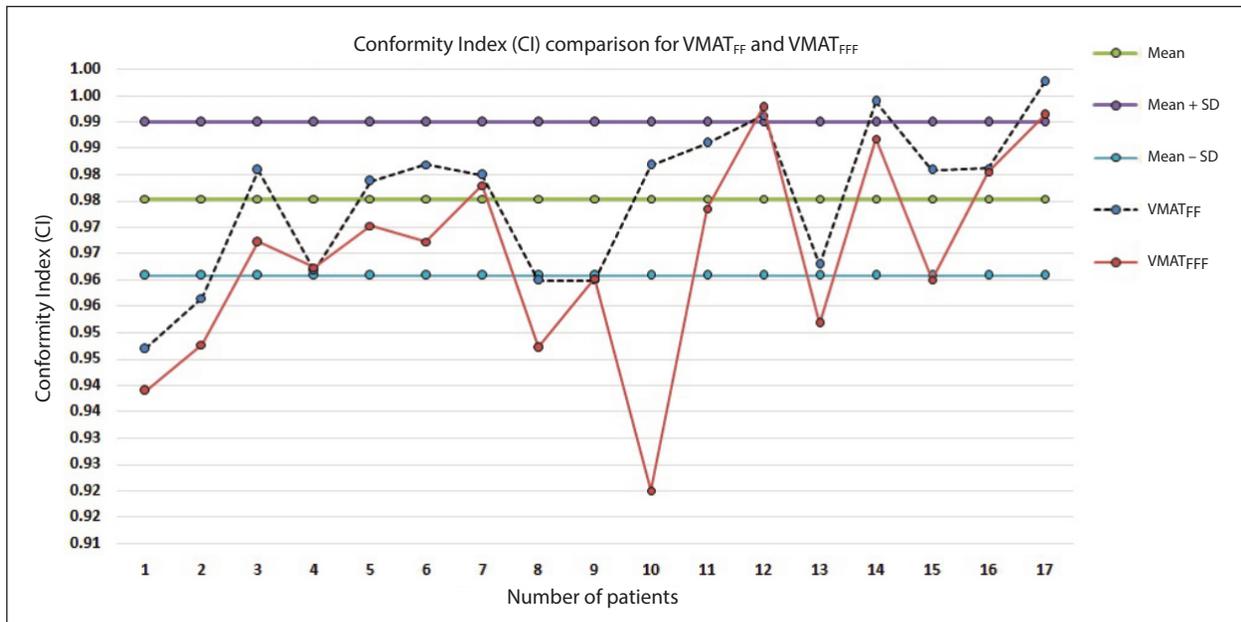


Figure 1. Distribution of the Conformity Index for both types of treatment plans

indices for the PTV. Insignificantly, VMAT_{FFF} plans were more homogeneous and had slightly more dose coverage than the VMAT_{FF} treatment plan. In comparison to VMAT_{FF}, VMAT_{FFF} shows a statistically insignificant difference of 0.77% higher UDI value. A lower UDI value favours the VMAT_{FF} treatment plan.

In accordance with findings from earlier studies, our own investigation also detected an increase in MU [21]. The VMAT_{FFF} beam plan displayed an 18.20 % higher MU in comparison to the VMAT_{FF} beam plan. This rise in the number of MUs was primarily due to the need for a greater number of small segments and MUs to achieve a homogeneous dose distribution when using the FFF beam configuration. There were very small, insignificant differences observed for the EUD value between VMAT_{FF} and VMAT_{FFF}. The mean dose to PTV for VMAT_{FF} plan shows a slight increase compared to the VMAT_{FFF}, when we translate and convert this difference to radiobiological parameters, we discover that there is an interesting increase in TCP, despite the fact that it seems statistically insignificant and ineffective. Figure 2 shows the linear correlation of EUD vs mean dose to the PTV for VMAT_{FF} and VMAT_{FFF} treatment plan. The R² values reported for VMAT_{FF} and VMAT_{FFF} are 0.9905 and 0.7448 for EUD vs. mean dose, respectively. The higher value of R² shows a strong positive cor-

relation between the EUD and the mean dose of the PTV. It indicates that whenever there is a higher physical mean dose received by PTV, it results in a higher EUD dose radiobiological. According to Table 3, the mean TCP value for the PTV in VMAT_{FF} is insignificantly higher than the VMAT_{FFF} plan. From Figure 3, it can be seen that there is a strong correlation between TCP and the mean PTV dose. The higher value of R² has been observed for TCP vs. mean PTV dose for VMAT_{FFF} than the VMAT_{FF} treatment plan. It means that when we require a higher value of TCP (the radiobiological parameter) we can directly correlate it with the mean dose (the physical parameter) received by PTV. A higher mean dose of PTV leads to more tumor control.

Figure 4 shows that both VMAT_{FF} and VMAT_{FFF} have a positive association between CI and the TCP. The VMAT_{FF} treatment plan received a lower value of R² (0.26) as compared to 0.46 in the VMAT_{FFF} treatment plan. The small value of the coefficient of determination for VMAT_{FF} shows that no correlation exists between CI (as physical parameter) and TCP (as radiobiological parameter) while the VMAT_{FFF} treatment plan shows that there is a moderate correlation between CI (as a physical parameter) and TCP (as a radiobiological parameter). The physical doses and NTCP of various OARs for VMAT_{FF} and the VMAT_{FFF} treatment

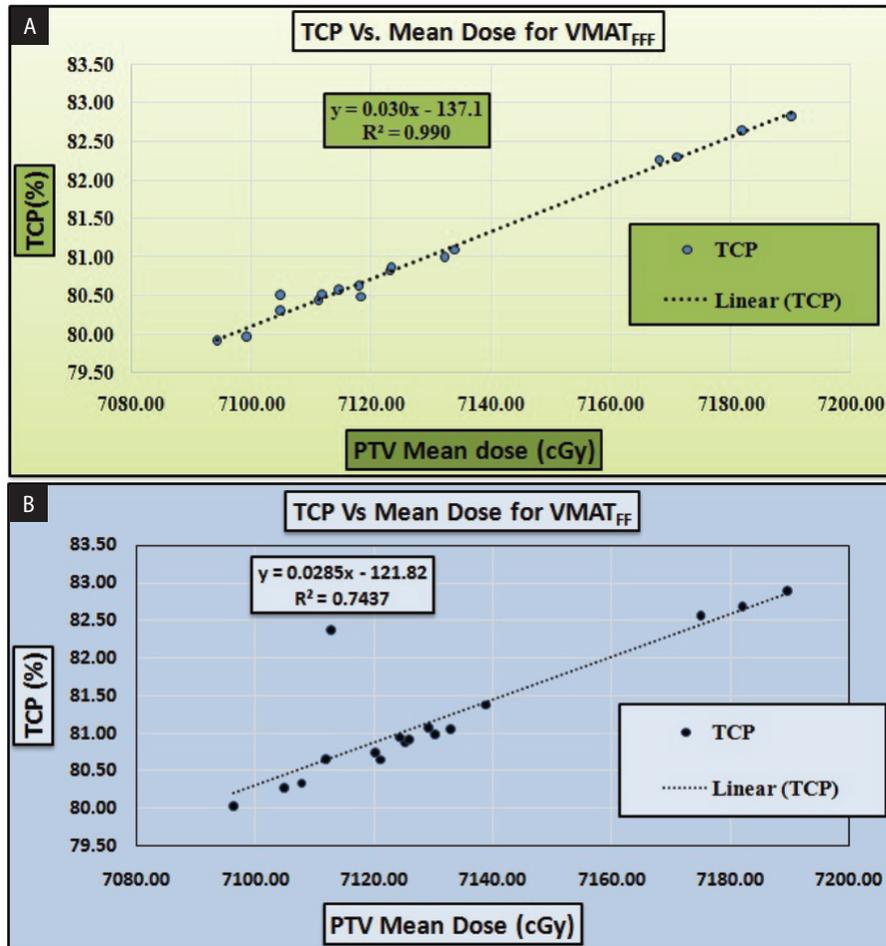


Figure 3. Correlation between tumor cure probability (TCP) and mean dose to the planning target volume (PTV) for flattened filter free (FFF) (A) and flattened filter (FF) (B) photon beam

One of the most significant long-term side effects of radiation exposure is severe hearing impairment. The cochlea, being one of the most radiosensitive organs, is influenced by the dose to the auditory apparatus. Tinnitus and radiation-induced sensorineural hearing loss are two potential side effects of radiation exposure to the cochlea. In some of the results of retrospective assessments that led to the QUANTEC dose-volume limitations of cochlear mean doses less than 45 Gy combined with an estimated complication rate of 30% [35]. In our study, we were able to achieve mean cochlear doses less than 45 Gy in both types of plans, among which the FFF plan had a slightly smaller mean dose for both cochleae with a lower NTCP value than the FF VMAT plan. All other OARs, excluding the spinal cord and cochlea (R), shows a stronger correlation with complication probabilities for VMAT_{FF} than the VMAT_{FFF} treatment plan. One

of the downsides of the standard method of plan evaluation, which is based on unique or various dose-volume constraints, is that it requires a large number of dose-volume points to evaluate the organ's complexity. While some of the dose-volume limits pass while others fail, the clinician must take these into account while evaluating the plan. Radiobiological plan evaluation, on the other hand, makes use of entire 3-dimensional dose distributions, balances various dose-volume constraints, and generates an understandable estimate of biological consequence. Plan evaluation based on dose-volume criteria also provides information regarding the presence or absence of an effect in relation to given dose constraints. The biological evaluation provides continuous analysis of the likelihood of tumour cure and normal organ complications, rather than taking threshold values in DVHs into account.

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