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Effectiveness of PET/CT with ^{18}F -fluorothymidine in the staging of patients with squamous cell head and neck carcinomas before radiotherapy

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Received 12 August 2014

Received in revised form

25 October 2014

Accepted 28 January 2015

Keywords: ^{18}F -fluorothymidine

PET/CT

Radiotherapy planning

Head and neck carcinomas

ABSTRACT

Aim: The aim of our study was to compare the staging of the disease declared before anti-cancer treatment was begun with the staging that was found after the planning PET/CT scanning with ^{18}F -FLT was performed.

Background: PET/CT in radiotherapy planning of head and neck cancers can facilitate the contouring of the primary tumour and the definition of metastatic lymph nodes.

Materials and methods: Between November 2010 and November 2013, 26 patients suffering from head and neck carcinomas underwent planning PET/CT examination with ^{18}F -FLT. We compared the staging of the disease and the treatment strategy declared before and after ^{18}F -FLT-PET/CT was performed.

Results: The findings from ^{18}F -FLT-PET/CT led in 22 patients to a change of staging: in 19 patients it led to upstaging of the disease and in 3 patients it led to downstaging of the disease. In one patient, a secondary malignancy was found.

Conclusions: We have confirmed in this study that the use of ^{18}F -FLT-PET/CT scanning in radiotherapy planning of squamous cell head and neck carcinomas has a great potential in the precise evaluation of disease staging and consequently in the precise determination of target volumes.

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1. Background

Radiotherapy has an important role to play in the treatment of locally and locoregionally advanced head and neck carcinomas, with or without concomitant chemotherapy. Using

intensity modulated radiotherapy (IMRT), which is used more and more in routine practice, it is possible to achieve much better conformity in dose distribution than using standard 3D conformal radiotherapy (3D CRT). In the treatment of head and neck carcinomas, this technique allows the delivery of high doses to target volumes with better sparing of

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proximal organs at risk (spinal cord, parotid glands and swallowing structures).^{1,2} Considering the high conformity of dose distribution and a steep dose gradient, both resulting from the use of the IMRT technique, it is very important to know the precise location and the exact boundaries of the primary tumour and metastatic lymph nodes. Positron emission tomography (PET) provides the biological information about the tumour that is complementary to the anatomical information obtained through computed tomography (CT) scanning. Thus, the combination of PET and CT scanning can significantly facilitate the contouring of the primary tumour as well as the definition of metastatic lymph nodes during radiotherapy planning of head and neck carcinomas.³ Initial studies with the most commonly used radiopharmaceutical, ¹⁸F-fluorodeoxyglucose (¹⁸F-FDG), showed that volumes irradiated at high doses can be reduced with consequent sparing of neighbouring organs at risk and that higher doses may thus be delivered to the target volume.^{4–6}

The major limitations of PET/CT examination with ¹⁸F-FDG are false-positive findings due to the accumulation of this radiopharmaceutical in inflammatory changed tissues or in reactively changed lymph nodes.³ Unlike F-FDG, 3'-deoxy-3'-¹⁸F-fluorothymidine (¹⁸F-FLT), is a radiopharmaceutical that reflects DNA synthesis and is not as influenced by peritumourous inflammatory changes.⁷ Inasmuch as squamous cell carcinomas of the head and neck show relatively intense accumulation of ¹⁸F-FLT,⁸ we decided to exploit PET/CT scanning with ¹⁸F-FLT for radiotherapy planning of these tumours.

2. Aim

The aim of our representative study was to compare the staging of the disease declared before anticancer treatment was begun with the staging that was found after the planning PET/CT scanning with ¹⁸F-FLT was performed. Furthermore, we investigated the impact of possible changes in the staging on the treatment strategy. To the best of our knowledge, no study has been published investigating radiotherapy planning of head and neck carcinomas using ¹⁸F-FLT-PET/CT scanning.

3. Materials and methods

3.1. Patients

Between November 2010 and November 2013, 26 patients (24 men and 2 women, with a median age of 61, see details in Table 1) suffering from histologically proven squamous cell carcinoma of the head and neck referred for radical or adjuvant radiotherapy – either as a single method or in combination with concomitant chemotherapy – took part in our study. At the time of planning investigation, all patients were without proven distant metastatic spread (TNM category – M0). They were conventionally radiologically staged with the use of neck ultrasound and chest X-ray examination. All patients signed an informed consent.

Table 1 – Patients and disease characteristics.

| All patients (n=26) | |
|---|------------|
| Sex | |
| Male | 24 (92.3%) |
| Female | 2 (7.7%) |
| Age at the time of diagnosis (median, range) | 61 (46–82) |
| Histology | |
| Squamous cell carcinoma | 26 (100%) |
| Grading | |
| G1 | 6 (23.1%) |
| G2 | 18 (69.3%) |
| G3 | 1 (3.8%) |
| G4 | 1 (3.8%) |
| Locality | |
| Oropharynx | 11 (42.4%) |
| Hypopharynx | 2 (7.7%) |
| Larynx | 5 (19.2%) |
| Base of mouth | 5 (19.2%) |
| Tongue | 2 (7.7%) |
| Paranasal sinuses | 1 (3.8%) |
| Radiotherapy purpose without the informations from ¹⁸FLT-PET/CT | |
| Radical | 20 (76.9%) |
| Adjuvant | 6 (23.1%) |
| Radiotherapy purpose with the informations from ¹⁸FLT-PET/CT | |
| Radical | 18 (69.3%) |
| Adjuvant | 1 (3.8%) |
| Palliative | 6 (23.1%) |
| No radiotherapy indicated | 1 (3.8%) |

3.2. Radiotherapy simulation and image acquisition

All patients underwent initial preparation at the Department of Oncology and Radiotherapy, University Hospital in Pilsen according to our institutional standards, i.e. the choice of proper positioning (supine position with arms along the body) and immobilization using a customized thermoplastic mask by Efficast® (Orfit, Wijngem, Belgium) for the head, neck and shoulders, determination of the reference plane on an X-ray simulator and drawing the projection points of the simulated isocentre on the mask. Planning PET/CT examination with ¹⁸F-FLT was carried out at the Department of Imaging Methods at the same hospital and in the same manner as routine diagnostic examinations, the only difference being that patients were positioned in radiotherapy positions with dedicated immobilization devices ensuring the same position as during their initial examination on an X-ray simulator. The concavity of the examination table was compensated for with a radiolucent hand-made board. Patients were placed into the required position with the help of a laser positioning system, the projection points on the skin were marked with radiopaque marks.

PET scans were performed 70–80 min after intravenous administration of ¹⁸F-FLT with a radioactivity level of about 80 MBq. CT scans were performed focusing on the head, neck and lungs on a Biograph HiRez/16 slice (Siemens, Forcheim, Germany) after intravenous administration of 80 ml of a non-ionic iodine contrast agent. CT scans were performed during inhalation; during PET acquisition the patient was instructed to breathe slowly and shallowly. CT and PET scans were then exported to our PlanW treatment planning system.

3.3. Radiotherapy planning and treatment delivery

Contouring of target volumes and organs at risk was carried out according to the recommendations of ICRU Reports 50⁹ and 62¹⁰ and based on the fusion of ¹⁸F-FLT-PET and CT scans. No mathematical algorithm for automatic contouring of GTV was used. We only used detailed descriptions of pathological findings, consulted a radiologist in case of doubts and used all accessible clinical informations. Patients were treated either with a shrinking-field technique using 3D CRT (3D conformal radiotherapy) or with simultaneous integrated boost using IMRT (intensity modulated radiotherapy). Radiotherapy itself was carried out on an Elekta Synergy® (Elekta, Stockholm, Sweden) linear accelerator. Most patients underwent preventive introduction of PEG (percutaneous endoscopic gastrostomy) before the beginning of treatment.

3.4. Compared parameters

We compared the following parameters: the staging of the disease and the treatment strategy declared before and after ¹⁸F-FLT-PET/CT.

4. Results

The findings obtained from planning ¹⁸FLT-PET/CT examination led in 22 (84.6%) patients to a change of staging: in 19 (73.1%) patients it led to upstaging of the disease and in 3 (11.5%) patients it led to downstaging of the disease. In one (3.8%) patient a secondary malignancy was found in a different subregion of the head and neck area than the primary tumour. In 7 (26.9%) patients distant metastatic spread was found. When upstaging of the disease was determined, it was mainly due to category "N" (nodi), namely in 11 patients (42.3%), less due to categories "M" (metastases) and "R" (residual disease) – 7 (26.9%) and 1 (3.8%) patient, respectively. See details in Table 2.

The changes in staging of the disease led to a change in treatment strategy in 11 (42.3%) patients. In 6 (23.1%) patients with proven metastatic spread, radiotherapy was conducted for palliative purposes and radiotherapy was abandoned in 1 (3.8%) patient. In 4 (15.4%) patients, the original adjuvant treatment strategy was changed to a radical one. See details in Table 2.

5. Discussion

Currently, the most widely used radiopharmaceutical for radiotherapy planning PET/CT scanning, not only in head and neck tumours, is ¹⁸F-FDG. The main problem connected with its use is possible false-positive findings due to the accumulation of this radiopharmaceutical either in inflammatory changed tissues or in reactively changed lymph nodes.³ This is also one of the reasons why another radiopharmaceutical, ¹⁸F-FLT, is increasingly being used both in diagnosis and radiotherapy planning. Squamous cell carcinomas of the head and neck show relatively intensive accumulation of the ¹⁸F-FLT⁸ used to image DNA synthesis and cell proliferation. After intravenous injection, the intracellular trapping of ¹⁸F-FLT in

the cytosole occurs after phosphorylation by thymidine kinase 1, which is a key enzyme in DNA synthesis with high enzymatic activity observed during the S phase of the cell cycle and low activity in the G0/G1 phase. During DNA synthesis, the activity of thymidine kinase 1 is increased almost tenfold.¹¹ ¹⁸F-FLT uptake inside the cell has thus been found to be a useful marker for assessment of the proliferation of several types of cancer, including squamous cell carcinomas of the head and neck.^{12,13}

To the best of our knowledge, no study has been published investigating radiotherapy planning of head and neck carcinomas using ¹⁸F-FLT-PET/CT scanning. A modelling study comparing contouring of target volume GTV (gross tumour volume) in squamous cell carcinomas of the thoracic oesophagus according to PET/CT examination with ¹⁸F-FDG and ¹⁸F-FLT with consequent pathological postoperative findings was published in 2010 by Han et al.¹⁴ In 22 patients referred to radical surgical resection with lymphadenectomy, the GTV was delineated using seven different methods in ¹⁸F-FLT-PET/CT (visual interpretation, SUV 1.3, 1.4, 1.5, SUV 20%, 25% and 30%) and three different methods in ¹⁸F-FDG-PET/CT (visual interpretation, SUV 2.5 and SUV 40%). The lengths of the GTVs were compared with the lengths found through histopathological examination after surgery. An SUV cut-off of 1.4 on ¹⁸F-FLT-PET/CT and one of 2.5 on ¹⁸F-FDG-PET/CT provided the closest estimation of pathologic GTV length. The difference between the lengths of FLT 1.4 and FDG 2.5 was significantly different ($p = 0.442$). A total of 14 nodes were falsely positive using ¹⁸F-FDG but only 3 using ¹⁸F-FLT, thus, 11 falsely positive nodes using ¹⁸F-FDG-PET/CT were corrected by ¹⁸F-FLT-PET/CT. A total of 8 falsely negative nodes were found using ¹⁸F-FDG-PET/CT, 12 using ¹⁸F-FLT-PET/CT and 19 using CT alone. The authors also compared two radiotherapy plans determined using the optimal threshold during the use of ¹⁸F-FDG and ¹⁸F-FLT. The differences in dosimetric values for the lungs V20 (bilateral lung volume receiving ≥ 20 Gy), for the heart V40 and Vmax (maximal dose) for the spinal cord were not statistically significant. But values of MLD (mean lung dose), V5, V10, V30, V40 and V50 for the lungs and MHD (mean heart dose) and V30 for the heart were significantly lower using ¹⁸F-FLT than ¹⁸F-FDG.

Some authors^{15,16} have described the high percentage of falsely positive findings in lymph nodes in head and neck carcinomas as being due to increased ¹⁸F-FLT uptake in the germinative centres of reactively changed lymph nodes. However, the above-mentioned study found markedly lower false positivity using ¹⁸F-FLT as opposed to ¹⁸F-FDG. Even though those tumours were oesophageal, they were likewise squamous cell carcinomas, whose biological behaviour in terms of radiopharmaceutical uptake should be similar. We were unable to evaluate false positivity/negativity in our group of patients because planning examinations were not followed up by surgical procedures with histopathological examination. In situations where increased uptake of the radiopharmaceutical occurred predominantly at the periphery along the circumference of the nodes and not in the centre, we considered it to be the activation of germinative zones. By contrast, in situations where increased uptake occurred at the core of lymph nodes, we considered it to be metastatically involved.

Table 2 – Detailed characteristics related to the changes of the staging and the treatment strategy of each patient.

| Patient (no.) | Sex (M/F) | Age | Tumour site | Grade | Stage before ¹⁸ FLT-PET/CT | Stage after ¹⁸ FLT-PET/CT | Original purpose | Purpose after ¹⁸ FLT-PET/CT | Notes |
|---------------|-----------|-----|-------------------|-------|---------------------------------------|--------------------------------------|------------------|--|--------------------------------------|
| 1 | M | 57 | Larynx | 4 | pT4apN2bM0 | pT4aN2cM0 | Adjuvant | Radical | |
| 2 | F | 61 | Base of mouth | 2 | pT3pN2bM0,R1 | pT3N2bM0,R2 | Adjuvant | Radical | |
| 3 | M | 64 | Oropharynx | 2 | T3N1M0 | T3N2cM0 | Radical | Radical | |
| 4 | M | 60 | Larynx | 2 | pT4apN2cM0,R2 | pT4aN2cM1,R2 | Radical | Palliative | Rib metastases |
| 5 | M | 66 | Base of mouth | 1 | pT4apN2bM0 | pT4aN2cM0 | Adjuvant | Radical | |
| 6 | F | 48 | Paranasal sinuses | 3 | T3N0M0 | T3N2bM0 | Radical | Radical | |
| 7 | M | 62 | Tongue | 2 | T3N2bM0 | T3N2bM0, second tumour | Radical | Radical | Left paralaryngeal carcinoma in situ |
| 8 | M | 65 | Oropharynx | 2 | T4aN2bM0 | T4aN2bM1 | Radical | Palliative | |
| 9 | M | 63 | Oropharynx | 2 | T4bN2cM0 | T4N2bM0 | Radical | Radical | |
| 10 | M | 52 | Larynx | 2 | pT1pN2bM0 | pT1N2cM1 | Adjuvant | Palliative | Mediastinal metastases |
| 11 | M | 82 | Oropharynx | 2 | T2N0M0 | T2N2bM1 | Radical | Palliative | Lung hilar metastases |
| 12 | M | 60 | Larynx | 1 | pT2pN0M0 | pT2N2cM0 | Adjuvant | Adjuvant | |
| 13 | M | 46 | Oropharynx | 1 | rT3N0M0 | rT3N0M0 | Radical | Radical | Reirradiation |
| 14 | M | 60 | Hypopharynx | 1 | T3N2bM0 | T3N0M0 | Radical | Radical | |
| 15 | M | 62 | Hypopharynx | 2 | pT2pN0M0 | pT2N1M0 | Adjuvant | Radical | |
| 16 | M | 78 | Oropharynx | 2 | T3N1M0 | T3N2cM0 | Radical | Radical | |
| 17 | M | 65 | Base of mouth | 1 | T4aN2cM0 | T4aN2bM1 | Radical | Palliative | Mediastinal metastases |
| 18 | M | 67 | Oropharynx | 2 | T3N2cM0 | T3N2cM0 | Radical | Radical | |
| 19 | M | 47 | Oropharynx | 2 | T4aN2cM0 | T4aN2cM1 | Radical | Palliative | Lung metastases |
| 20 | M | 61 | Base of mouth | 2 | T3N2bM0 | T3N2cM0 | Radical | Radical | |
| 21 | M | 52 | Oropharynx | 2 | pT2pN2apM1 (soft neck tissue), R2 | | pT2pN2aM1 | Radical | Lung and mediastinal metastases |
| 22 | M | 73 | Tongue | 2 | T3N2cM0 | T3N2bM0 | Radical | Radical | |
| 23 | M | 49 | Base of mouth | 2 | T4aN2bM0 | T4aN2cM0 | Radical | Radical | |
| 24 | M | 79 | Oropharynx | 2 | T3N2bM0 | T3N2cM0 | Radical | Radical | |
| 25 | M | 53 | Larynx | 2 | T2N3M0 | T2N3M0 | Radical | Radical | |
| 26 | M | 59 | Oropharynx | 1 | T3N0M0 | T3N2cM0 | Radical | Radical | |

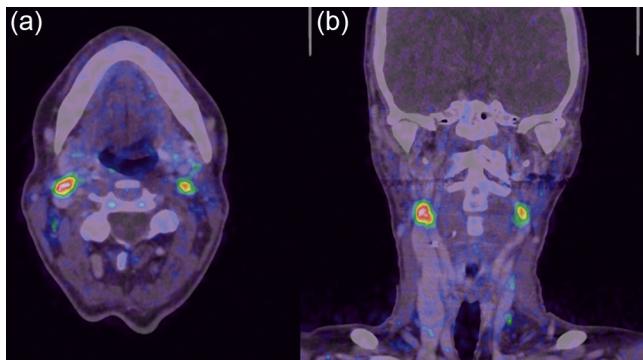


Fig. 1 – Patient (no. 6) with paranasal sinus carcinoma – axial (a) and coronal (b) view. According to the staging ultrasound investigation only benign cervical lymph nodes bilaterally. In the right upper jugular region increased FLT accumulation due to tumour infiltration, on the left side reactively changed node.

In our group of patients using planning ^{18}F -FLT-PET/CT, we saw upstaging of the disease in 19 (73.1%) patients, with 11 (42.3%) patients upstaged due to more advanced nodal involvement than originally appeared in CT and ultrasound examinations (Fig. 1). However, these findings did not lead to a change of treatment strategy, which remained radical. In seven (26.9%) patients, distant metastatic spread was found in the planning examination (one case of skeletal metastases, one of pulmonary hilar metastases, three of mediastinal metastases, one of lung metastases and one of mediastinal and lung metastases), but only in one case (mediastinal and lung metastases) was radiotherapy abandoned; in all other cases radiotherapy was carried out for palliative purposes. This was due to inaccurate staging of the disease consisting of neck ultrasound and chest X-ray examination, which may miss mediastinal, pulmonary hilar and small lung metastases. One (3.8%) patient (carcinoma of the edge of the tongue; Fig. 2) was suspected in the planning examination of having a secondary malignancy in the left paralaryngeal space. It was histologically proven by biopsy to be a squamous cell carcinoma in situ. However, this focus was then put into the GTV. Only three (11.5%) patients saw downstaging of the disease due to ^{18}F -FLT-PET/CT examination, in all cases in terms of

“N” category, but even this change did not lead to a change of treatment strategy. It was of interest that in four (15.4%) patients our original treatment strategy was changed to a radical one because of findings of metastatic nodal involvement (two contralaterally, one ipsilaterally and one bilaterally).

Inasmuch as the activity of thymidine kinase 1 is extremely sensitive to ionizing radiation, the changes in ^{18}F -FLT uptake are considered to be the marker of a direct biological effect of radiation.¹⁷ These changes also precede the changes in ^{18}F -FDG uptake; in other words, a decrease of cell proliferation precedes a change in cell metabolism.¹⁸ This assumption has led to several experiments on the use of ^{18}F -FLT-PET/CT performed during radiotherapy in an effort to predict treatment outcomes, to identify subvolumes with higher proliferative activity and to the eventual use of adaptive radiotherapy during radiotherapy treatment with a boosted dose applied to the high-risk subvolume, as the surviving cell populations are much more capable of repopulation in the period between two radiation doses and this process of repopulation is often the cause of treatment failure.^{19,20} Kishino et al.²¹ compared the utility of ^{18}F -FLT-PET/CT with that of ^{18}F -FDG-PET/CT to assess the early locoregional clinical outcomes of chemoradiotherapy for head and neck squamous cell carcinomas in 28 patients. During mid-treatment imaging, ^{18}F -FDG-PET/CT had high sensitivity (100%), but low specificity (19%), low positive predictive value (17%) and low overall accuracy (30%). Mid-treatment ^{18}F -FLT-PET/CT showed significantly higher specificity (72%) and overall accuracy (74%) than ^{18}F -FDG-PET/CT ($p < 0.0001$ and 0.0001, respectively). According to the authors of the study, ^{18}F -FLT-PET/CT examination performed during radiotherapy and soon after has the potential to predict clinical outcomes and to identify patients who would require thorough follow up to detect persistent or recurrent disease. Another study, Hoeben et al.²² monitored early clinical outcomes and also evaluated the association between PET parameters and clinical outcomes. 48 patients with squamous cell carcinomas of the head and neck underwent ^{18}F -FLT-PET/CT examination before and during radio(chemo)therapy (in the second and forth weeks) to assess whether early changes in ^{18}F -FLT uptake can predict long-term outcomes. A decrease of SUV_{max} $\geq 45\%$ during the first two weeks was associated with significantly better disease-free survival (DFS) (88% [95% CI, 75–100] vs. 63% [95% CI, 41–85], $p = 0.035$). In the fourth week of radiotherapy, SUV_{max} in all patients decreased to such an extent

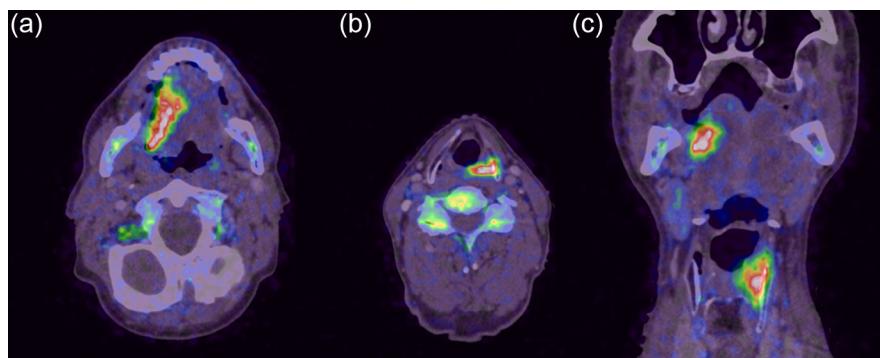


Fig. 2 – Patient (no. 7) with carcinoma of the right edge of the tongue (a, c) with secondary malignancy in the left paralaryngeal space (b, c). Axial (a, b) and coronal view (c).

that it was no longer useful for assessing outcome. Thus, a greater decrease in accumulation was a predictor of better clinical outcome (DFS), with a particularly strong early association in patients treated with radiochemotherapy. According to the results of this study, the evaluation is best done in the second week of treatment because later measurements did not add much information and the ^{18}F -FLT-PET signal became more difficult to quantify. Troost et al.²³ monitored early tumour response based on repetitive ^{18}F -FLT-PET/CT examination using volume and signal changes. They also assessed the heterogeneity of intratumourous distribution of the ^{18}F -FLT and identified subvolumes with high proliferative activity suitable for dose escalation and, thus, to adaptive radiotherapy. Ten patients with oropharyngeal tumours underwent three consecutive ^{18}F -FLT-PET/CT examinations (1 before and 2 during radiotherapy). In the primary tumours, the SUV max of the second ^{18}F -FLT-PET scan was significantly decreased relative to the first scan, and the SUV max decreased even further in the third (7.6 ± 2.6 , 3.1 ± 1.7 and 1.7 ± 0.4). On average, the relative decrease in SUV max was 55% between the first and second scans and 34% between the second and third scans. In lymph node metastases, the relative decrease in SUV max was 44% between the first and second scans and 47% between the second and third scans. In all primary tumours a GTV_{80%} (sub-volume with activity based on 80% isocontour) within GTV_{CT} (GTV delineated on CT) could be identified in the first and second ^{18}F -FLT-PET/CT scans. In the third scan, this identification was hampered by the relatively low ^{18}F -FLT uptake in the tumour relative to the background. In contrast to the early decrease of accumulation associated with treatment, significant changes in the size of GTV_{CT} were detected only in the fourth week (after 15–18 fractions). In several patients, the size and location of the subvolumes changed during the initial phase of treatment. Image acquisition late during treatment (e.g. in the fourth week) did not lead to useful results because signal intensities were low. Thus ^{18}F -FLT-PET/CT examination was useful neither in terms of response monitoring based on volumetric changes nor adaptation of the GTV delineation during treatment.

6. Conclusions

In conclusion, we have confirmed in this study that the use of ^{18}F -FLT-PET/CT scanning in radiotherapy planning of squamous cell head and neck carcinomas has a great potential in the precise evaluation of disease staging and, consequently, in the precise determination of target volumes. Furthermore, it has a considerable impact on possible changes in the therapeutic approach taken and, consequently, on the choice of an optimal treatment strategy.

Conflict of interest

None declared.

Financial disclosure

None declared.

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