

The effect of sedation during transoesophageal echocardiography on heart rate variability: a comparison of hypnotic sedation with medical sedation

Yuksel Dogan¹, Gulay A. Eren², Evrim Tulubas², Vecihi Oduncu¹, Alparslan Sahin¹, Serkan Ciftci¹

¹Department of Cardiology, Bahçeşehir Medical University, Fatih Medical Park Hospital, Istanbul, Turkey

²Department of Anaesthesiology and Intensive Care, Bakirkoy Dr. Sadi Konuk Education and Research Hospital, Istanbul, Turkey

Abstract

Background: There is no ideal sedation technique that can be used during transoesophageal echocardiography (TEE), and the data concerning the effects of available sedation techniques on heart rate variability (HRV) are limited.

Aim: To compare the effects of sedation through hypnotherapy with medical sedation achieved by midazolam on HRV.

Methods: We recruited 76 patients with an indication of TEE; the age range was 18–83 years. In Group T there were 26 patients who had the procedure under topical pharyngeal anaesthesia, in Group D there were 23 patients who received midazolam, and in Group H there were 27 patients receiving hypnosis. All patients had an IV access; throughout the procedure heart rate, rhythm electrocardiography, and peripheric O₂ saturation were monitored with a non-invasive monitor, and blood pressure measurements were taken every 3 min. Rhythm Holter recordings were obtained from all patients and TEE was performed.

Results: When time domain parameters for HRV were compared in all three groups, the hypnosis group had significant increases in pNN50 and RMSSD compared to Groups D and T ($p < 0.05$). As concerns frequency domain parameters, there were no significant differences between groups where low frequency (LF) was decreased in hypnosis group and high frequency (HF) was increased ($p > 0.05$). However, LF/HF was decreased statistically significantly ($p < 0.05$) when compared with the midazolam group.

Conclusions: Contrary to standard sedation in TEE patients, when hypnosis is used autonomic cardiac tone is modified to a significant extent. Hypnotic sedation achieves this by increasing the parasympathetic activity, decreasing the sympathetic activity, and changing the sympathovagal interaction balance.

Key words: transoesophageal echocardiography, heart rate variability, hypnotic sedation, midazolam

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INTRODUCTION

Transoesophageal echocardiography (TEE) is a diagnostic test utilised when conventional transthoracic echocardiography (TTE) does not yield sufficient information in patients with cardiovascular problems. Contrary to TTE, TEE is an invasive diagnostic tool, it hinders the comfort of the patient and causes nausea, gag reflex, dyspnoea, and emotional distress. Generally, TEE is performed in cardiology departments under topical anaesthesia. Most patients cannot tolerate the introduction of

the probe under topical anaesthesia; they feel sick during the procedure. As topical anaesthesia cannot totally eliminate gag reflex, a more effective and deeper sedation might be necessary for those patients who cannot tolerate the procedure [1, 2]. Before pharyngeal intubation, for medical sedation purposes mild sedation studies were performed with a mild and short acting sedative and anxiolytic by using midazolam, which is a benzodiazepine. Propofol vs. midazolam and midazolam vs. remifentanyl were compared to see mild and deep

Address for correspondence:

Dr Yuksel Dogan, Department of Cardiology, Bahçeşehir Medical University, Fatih Medical Park Hospital, Istanbul, Turkey, e-mail: yukseldogan@hotmail.com

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sedation [3–5]. Hypnotic sedation has been shown to decrease postoperative stay, use of analgesics, pain and anxiety, and nausea-vomiting in breast biopsy, general surgery, open heart surgery, and plastic surgery [6–9].

At the same time, during the treatment of non-cardiac chest pain, the frequency of pain decreases; during percutaneous transluminal coronary angioplasty, the increase in cardiac sympathetic activity can be decreased with hypnotic sedation [10].

Heart rate variability (HRV) analysis evaluates the condition of the autonomic nervous system responsible for regulating cardiac activity and cardiac health in general. Thus, HRV is used to evaluate the sympathetic and parasympathetic modulation of the heart rate (HR) [11]. There are limited number of studies on HRV variability during TEE, which are both under medical and hypnotic sedation. The aim of our study is to see the effects of sedation with midazolam and hypnotherapy on HRV.

METHODS

Patients

Seventy-six patients (37 male and 39 female) at 18–83 years of age, who had an indication for TEE confirmed by our Cardiology Unit during October–December 2010 were included in our study. The indications for TEE were as follows: 28 of them were atrial septal defect, 14 were patent foramen ovale, 11 were bicuspid aortic valve, six were mitral valve prolapse, 10 were valvuloplasty, and seven were hypertrophic cardiomyopathy. There were no differences between groups regarding the indications. Patients under 18 years of age, those with heart failure, ventricular arrhythmia, complex congenital heart conditions, or obstructive lung diseases, those who could not cooperate during TEE, those who using benzodiazepines, antidepressants, and antipsychotics, or medications influencing the HR, and those with thyroid conditions were excluded from the study.

All of the patients who were to participate in the study signed informed consent forms and the approval of the hospital Ethics Committee was obtained (the name of the ethics committee: Bakirkoy Dr. Sadi Konuk Hospital Ethics Committee, number: 9-7). The patients were randomised into three groups. Group T had 26 patients who only received topical anaesthesia with 1% lidocaine, Group D included 23 patients receiving midazolam, and Group H included 27 patients undergoing hypnosis. All the patients were in sinus rhythm. Before the procedure, IV access was established. They were monitored with non-invasive monitor throughout the procedure for HR, rhythm electrocardiography (ECG), and O₂ saturation. Blood pressure measurements were taken from the right arm every 3–5 min. The monitoring of these parameters started before the patient swallowed the TEE probe and was concluded after the probe was retrieved. Emergency

resuscitation equipment and medications were kept ready throughout the procedure. Rhythm Holter monitor was placed before insertion of the probe, and the Holter recording was stopped after the retrieval of the probe. Following an 8 h fasting, TEE was performed to patients on left decubitus position by a cardiologist using Vivid S5, General Electric, United States. It was well tolerated, with no complications.

Sedation

Group T and the other two study groups received local anaesthesia to the oropharynx region with two puffs of 1% lidocaine spray. In Group D, before insertion of the probe, the patients were sedated with midazolam (Dormicum 1 mg/mL ampoules, Roche) at a dose of 0.05 mg/kg IV (to achieve a Ramsay Sedation Score [RSS] of 2–3). If needed, additional IV doses (0.005 mg/kg) were given during the procedure. No any other pharmacotherapy was used in any of the groups. The patients were kept under observation for 30–45 min after the procedure. Their haemodynamic and respiratory parameters were monitored.

Hypnosis

Hypnosis was performed by the same anaesthesiologist according to indirect Erickson's method [12]. A first hypnotic induction was carried out the day before the procedure. The hypnotic state was described to the patient as a state of mental focalisation on a pleasant life experience. The word "hypnosis" was intentionally not used, in order to avoid creating a positive or negative bias based on preconceived notions, instead they were told that they were taken under sessions of "encouragement" and "mental preparation" for the procedure. The next day, 15 min before the procedure, a new induction was performed and hypnosis was deepened. Every effort was made to produce a state of hypnosis, in which only ideas of relaxation and wellness were suggested to the patients during the procedure.

Analysis of HRV parameters

All the patients had Holter monitor on before swallowing the probe, and the Holter recording was terminated after the retrieval of the probe. Recordings were taken for 12–15 min on average. Holter ECGs were analysed using the CustoMed Holter system. The authors, blinded to the diagnosis of the patients, conducted the analyses of Holter ECGs. The HRV analysis was assessed over a period and was performed in time domains and frequency domains according to European Society of Cardiology/North American Society of Pacing and Electrophysiology guidelines. The following time domain parameters were calculated: mean of all normal RR intervals (mean RR); standard deviations of all NN intervals (SDNN); standard deviation of the averages of NN intervals in all 5-min segments of the entire recording (SDANN); the square root of

Table 1. The evaluation of the groups based on demographic features

	Group D (n = 23)	Group T (n = 26)	Group H (n = 27)	P
Age	34.48 ± 13.22	37.88 ± 19.15	38.92 ± 9.23	0.535 ⁺
Male	11 (47.8%)	15 (57.7%)	11 (40.7%)	0.485 ⁺⁺
Female	12 (52.2%)	11 (42.3%)	16 (59.3%)	

⁺One-way ANOVA test; ⁺⁺Chi-square test

Table 2. Systolic blood pressure (SAP) according to groups (in mm Hg)

SAP	Group D (n = 23)	Group T (n = 26)	Group H (n = 27)	P ⁺
Baseline	120.78 ± 13.11	132.92 ± 25.80	124.56 ± 18.46	0.073
1 min	135.64 ± 21.61	151.04 ± 28.79	138.47 ± 22.26	0.055
3 min	127.71 ± 16.84	142.50 ± 26.66	132.68 ± 24.21	0.061
5 min	124.14 ± 15.38	137.34 ± 26.25	130.53 ± 15.06	0.047*
10 min	124.32 ± 15.49	138.34 ± 25.62	127.47 ± 18.85	0.033*
0–1 min (p ⁺⁺)	0.001**	0.001**	0.001**	
0–3 min (p ⁺⁺)	0.038*	0.004**	0.002**	
0–5 min (p ⁺⁺)	0.193	0.177	0.005**	
0–10 min (p ⁺⁺)	0.236	0.084	0.269	

⁺One-way ANOVA test; ⁺⁺Paired sample t test; *p < 0.05; **p < 0.01

the mean of the sum of the squares of differences between adjacent NN intervals (rMSSD); and the number of pairs of adjacent NN intervals differing by more than 50 ms divided by the total number of all NN intervals (pNNS50). Spectral analysis of HRV included total power, which represents the variability of the entire signal and is obtained by summing powers of each frequency band, high-frequency (HF) component (0.15–0.40 Hz); low frequency (LF) component (0.04–0.15 Hz). The low-frequency power/high-frequency power (LF/HF) was calculated in all subjects.

Statistical analysis

For statistical analyses NCSS (Number Cruncher Statistical System) 2007 and PASS 2008 Statistical Software (Utah, United States) programmes were used. When the study data was evaluated, in addition to descriptive statistical methods (mean, standard deviation [SD]), oneway ANOVA test was used for the comparisons of quantitative data and intergroup comparisons of parameters with a normal distribution. For intergroup comparisons of parameters that did not have a normal distribution, Kruskal-Wallis test was used; Mann-Whitney U test was used for the identification of the group that was different. Chi-square test was used for the comparisons of qualitative data. The statistical significance level was p < 0.05. We performed power analysis. In conclusion, according to mean HR measurement we determined the difference as 8.5 between groups (delta) and as SD 10, the sampling number of groups was determined as n = 22 for 80% power and $\alpha = 0.05$.

RESULTS

The study was performed on 76 patients. There were 37 (48.7%) male and 39 (51.3%) female patients. The age range of the patients changed between 18 and 83 years with a mean of 37.22 ± 14.37 years. The patients were analysed under three groups as “D” (n = 23), “T” (n = 26), and “H” (n = 27). There was no statistically significant difference between the mean ages and gender distributions of the groups (p > 0.05; Table 1). All of the TEE procedures were performed by the same cardiologist, and there were no complications related to the procedure in any of the groups.

Based on the means of systolic arterial pressure (SAP) measurements at the beginning and at 1 min and 3 min, there was no statistically significant difference among the groups (p > 0.05); however, the mean SAP of Group D at 5 min and 10 min was significantly lower compared to the other two groups (p < 0.05; Table 2). Means of diastolic arterial pressure (DAP) at the baseline and at 1, 3, 5, and 10 min did not differ significantly among the groups (p > 0.05); however, Group D had lower levels compared to other groups. In Group D; compared to peripheral O₂ saturation (SpO₂) measurements at the beginning, the decreases seen in mean SpO₂ levels at 1, 3, 5, and 10 min were significant (p < 0.01), but this was not the case for the other groups (Table 3).

Maximum HR and mean HR were lower in the hypnosis group compared to the two other groups. However, means of maximum HR, mean HR, and minimal HR did not differ significantly among the groups (p > 0.05). The means of SDNN of the HRV time domain parameters and

Table 3. Evaluation of the peripheral O₂ saturation (SpO₂) of the groups

SpO ₂ [%]	Group D (n = 23)	Group T (n = 26)	Group H (n = 27)	P ⁺
Baseline	97.25 ± 1.62	97.19 ± 2.78	96.97 ± 1.89	0.863
1 min	95.78 ± 2.63	96.65 ± 3.58	96.87 ± 1.70	0.268
3 min	95.50 ± 2.38	96.54 ± 3.14	96.62 ± 1.91	0.170
5 min	95.78 ± 2.21	96.50 ± 3.16	96.72 ± 2.26	0.351
10 min	96.00 ± 1.74	96.54 ± 2.71	96.84 ± 1.93	0.316
0–1 min (p ⁺⁺)	0.001*	0.143	0.720	
0–3 min (p ⁺⁺)	0.001*	0.094	0.276	
0–5 min (p ⁺⁺)	0.001*	0.074	0.408	
0–10 min (p ⁺⁺)	0.001*	0.091	0.625	

*One-way ANOVA test; **Paired sample t test; *p < 0.01

Table 4. Evaluation of the Holter recordings of the groups

	Group D (n = 23)	Group T (n = 26)	Group H (n = 27)	P ⁺
Max. HR	110.95 ± 19.60	108.43 ± 22.99	105.54 ± 18.98	0.680
Mean HR	92.39 ± 12.40	90.69 ± 14.88	87.89 ± 13.11	0.494
Min. HR	81.48 ± 13.57	79.96 ± 15.88	83.29 ± 12.02	0.684
SPC ⁺	0.56 ± 1.20 (0)	6.19 ± 21.68 (0)	3.52 ± 13.61 (0)	0.308
VPC ⁺	9.35 ± 31.63 (1)	19.61 ± 83.88 (1)	5.15 ± 11.77 (1)	0.907
HRV parameters				
Time-domain				
SDNN [msn]	83.22 ± 31.77	85.01 ± 42.70	97.25 ± 42.25	0.386
SDANN [msn]	32.77 ± 24.50	35.44 ± 26.82	43.69 ± 23.07	0.300
pNN50 [%]	6.29 ± 8.82 (3.3)	17.93 ± 20.26 (10.6)	19.45 ± 22.42 (15.9)	0.001*
RMSSD [msn]	51.80 ± 41.39 (40.8)	69.82 ± 45.02 (52.6)	94.88 ± 87.20 (78.8)	0.006*
Frequency-domain				
SDLF ⁺ [nu]	4.18 ± 2.511 (3.6)	5.04 ± 5.33 (3.0)	3.09 ± 3.88 (2.4)	0.124
SDHF ⁺ [nu]	1.34 ± 1.26 (0.9)	1.12 ± 0.95 (0.7)	2.32 ± 4.42 (0.8)	0.726
SDLF/SDHF ⁺	4.61 ± 3.62 (3.1)	8.93 ± 14.92 (2.7)	2.01 ± 1.27 (1.6)	0.001*

One-way ANOVA test; *Kruskal-Wallis test; *p < 0.01; max. HR — maximum heart rate; mean HR — mean heart rate; min. HR — minimum heart rate; SPC — supraventricular premature contraction; VPC — ventricular premature contraction; HRV — heart rate variability; SDNN — standard deviations of all NN intervals; SDANN — standard deviation of the averages of NN intervals in all 5-min segments of the entire recording; RMSSD — the square root of the mean of the sum of the squares of differences between adjacent NN intervals; pNN50 — the number of pairs of adjacent NN intervals differing by more than 50 ms divided by the total number of all NN intervals; LF (SDLF) — power in low frequency range with its standard deviations and in normalised units; HF (SDHF) — power in high frequency range with its standard deviations and normalised units

SDANN levels did not differ significantly among the groups ($p > 0.05$); however, there were statistically significant differences among the pNN50 levels of the groups ($p < 0.01$). pNN50 levels of Group D were significantly lower than those of Group T ($p = 0.002$) and Group H ($p = 0.001$) ($p < 0.01$). There was no significant difference between the pNN50 levels of Groups T and H ($p > 0.05$). There was a significant difference between the RMSSD levels of the groups ($p < 0.01$). RMSSD levels of Group D were significantly lower than those of Groups T ($p = 0.044$) and H ($p = 0.001$) ($p < 0.05$, $p < 0.01$). There was no significant

difference between the RMSSD levels of Groups T and H ($p > 0.05$; Table 4).

Of the HRV frequency domain parameters, means of LF were lowest in Group H, but there was no significant difference among the groups as concerns this parameter ($p > 0.05$). Similarly, means of HF were highest in Group H, but there was no statistically significant difference among the groups ($p > 0.05$). LF/HF levels differed significantly among the groups ($p < 0.01$). LF/HF levels of Group H was significantly lower than Groups D ($p = 0.001$) and T ($p = 0.001$). There was no significant difference between LF/HF levels of Groups T

and D ($p > 0.05$). Mean supraventricular premature contraction and mean ventricular premature contraction levels of the groups did not differ significantly ($p > 0.05$; Table 4).

DISCUSSION

While causing emotional stress and inducing gag reflex, TEE also causes changes in the HR, blood pressure, and O_2 saturation [1, 4]. Stress response is a complex action–reaction response resulting from several exogenous and endogenous stimuli. Stress response is sometimes handled through the sympathetic nervous system, resulting in increases in the HR and blood pressure of the individual concerned [13].

In our study, maximum and mean HR was found to be highest in Group D using midazolam and lowest in hypnosis group; however, there was no statistically significant difference among the three groups ($p = 0.05$). In their studies, Blondheim et al. [3] found that when the group sedated with midazolam was compared to the topical anaesthesia group, there was a significant increase in the HR. Tachycardia during TEE results from stress. Midazolam being an anxiolytic, we anticipate a decrease in tachycardia with its use; however, its showing an opposite effect can be evaluated as a reflex increase resulting from its systemic vascular resistance reducing potential [3]. On the other hand, hypnotic intervention has been shown to yield variable catecholamine and cortisol responses [14]. The study dramatically demonstrated that individual variability was closely related to hypnotic intervention, and that HR was decreased with deep relaxation suggestion. As anxiety rates decreased, catecholamine levels returned to normal. In the hypnosis group, there was no significant decrease in blood pressure and O_2 saturation. Although not statistically significant, blood pressure levels of the midazolam group were lower compared to other groups, and O_2 saturation was also decreased significantly ($p < 0.01$). This can be explained through the depressive effects of midazolam on blood pressure and O_2 saturation. However, hypnotic sedation did not show a significant depression on haemodynamics and ventilation [3, 15].

Heart rate variability reflects the effects of autonomic nervous system on sinus node activity. HRV is a complex measurement of HR modulation encompassing sympathetic effects, parasympathetic effects, and interactions thereof. In the time-domain analysis, SDNN represents a general measurement of autonomic nervous system balance. SDNN is related to the total power. SDANN reflects long-term components of HRV mediated by both sympathetic and parasympathetic influences, while RMSSD reflects short-term components of HRV mediated by parasympathetic respiratory variations [16]. pNN50 is primarily an index of parasympathetic tone. In our study, in the hypnosis group, SDNN and SDANN showed increases compared to the two other groups despite not reaching the level of statistical significance ($p = 0.386$, $p = 0.300$, respectively); pNN50 and RMSSD had statisti-

cally significant increases in the hypnosis group ($p = 0.001$, $p = 0.006$). Increases in RMSSD and pNN50 parameters in the hypnosis group indicate increased parasympathetic and decreased sympathetic nervous system activity. This demonstrates us that sedation with midazolam does not decrease sympathetic activity. In the frequency domain analysis, LF represents mainly the sympathetic and the HF reflects mainly the parasympathetic nervous system. Frequency domain methods should be preferred to the time domain methods when investigating short-term recordings [17]. In our study group, in the frequency domain analysis, LF parameter was lower in the hypnosis group compared to the midazolam and topical groups whereas HF was higher but not significant. LF/HF rate was significantly lower in the hypnosis group compared to other two groups. In their study, Aubert et al. [18] found that in healthy individuals LF levels were decreased in the hypnosis group while HF was increased and LF/HF rate was decreased. DeBenedittis et al. [19] found similar results in healthy individuals undergoing hypnosis. Yuksel et al. [20] demonstrated that in women HRV decreased during hypnosis. Baglini et al. [10] demonstrated that the increase in the sympathetic activity during transluminal coronary angioplasty could be decreased with hypnosis but not with benzodiazepines. Our findings are in parallel with these studies, and our study demonstrates that hypnosis affects HRV, shifting the balance of the sympathovagal interaction toward an enhanced parasympathetic activity, concomitant with a reduction of the sympathetic tone. Hypnosis decreases the central signalling of nociceptive stimuli at different levels [21]; moreover, cortical and subcortical correlates of autonomic activation have been found. In particular, inhibition of serotonergic neurons during animal hypnosis have been demonstrated, and this kind of neuron, whose activation accompanies sympathoexcitation, has been found in spinal cord and brainstem regions known to be involved in autonomic regulation [22]. Winn et al. [23] demonstrated that midazolam showed dominant sympathetic effects during the sedation period. The main effects of benzodiazepines are sedation, decreased anxiety, anterograde amnesia, and centrally mediated muscle relaxation. In addition to their actions on the central nervous system, benzodiazepines have a dose-dependent ventilatory depressant effect and they also cause a modest reduction in arterial blood pressure and an increase in the HR as a result of a decrease in systemic vascular resistance. These drugs are known to act predominantly on cortical prefrontal areas and can inhibit sympathetic drive only by weakening emotional and perceptive mechanisms. Tsugayasu et al. [24] demonstrated that midazolam more effectively suppresses sympathetic activations and reduces stress feelings during mental arithmetic task. Ristikankare et al. [25] found that gastroscopy induces a shift towards dominance of the sympathetic modulation of the heart, and sympathetic activation was decreased in the midazolam treated patients.

CONCLUSIONS

In conclusion, in TEE patients autonomic tone was significantly modified with hypnosis but not with midazolam sedation. Hypnotic sedation achieves this by increasing parasympathetic activity, decreasing sympathetic activity, and changing the balance of sympathovagal interaction.

Conflict of interest: none declared

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Wpływ sedacji w trakcie echokardiografii przezprzełykowej na zmienność rytmu serca: porównanie sedacji za pomocą hipnozy z sedacją farmakologiczną

Yuksel Dogan¹, Gulay A. Eren², Evrim Tulubas², Vecihi Oduncu¹, Alparslan Sahin¹, Serkan Ciftci¹

¹Department of Cardiology, Bahçeşehir Medical University, Fatih Medical Park Hospital, Istanbul, Turcja

²Department of Anaesthesiology and Intensive Care, Bakirkoy Dr. Sadi Konuk Education and Research Hospital, Istanbul, Turcja

Streszczenie

Wstęp: Nie ma idealnej metody sedacji, która mogłaby być stosowana w trakcie echokardiografii przezprzełykowej (TEE), a dane dotyczące wpływu dostępnych metod sedacji na zmienność rytmu serca (HRV) są ograniczone.

Cel: Badanie przeprowadzono w celu porównania wpływu na HRV sedacji uzyskanej metodą hipnoterapii i sedacji farmakologicznej z zastosowaniem midazolamu.

Metody: Do badania włączono 76 chorych ze wskazaniami do wykonania TEE, w wieku 18–83 lat. Grupa T obejmowała 26 chorych, u których badanie wykonano po zastosowaniu miejscowego znieczulenia gardła, grupa D — 23 chorych, którym podano midazolam, a grupa H — 27 chorych, których poddano hipnozie. U wszystkich pacjentów zapewniono dostęp dożylny. Przez cały czas trwania badania monitorowano częstość rytmu serca, zapis elektrokardiograficzny i saturację tlenem krwi obwodowej metodą nieinwazyjną; pomiary ciśnienia tętniczego wykonywano co 3 minuty. U wszystkich pacjentów uzyskano zapis rytmu serca metodą Holtera i przeprowadzono TEE.

Wyniki: Porównując parametry HRV uzyskane w trzech grupach, stwierdzono, że w grupie poddanej hipnozie wartości pNN50 i RMSSD były istotnie wyższe niż w grupach D i T ($p < 0,05$). Na podstawie oceny parametrów analizy częstotliwościowej nie wykazano istotnych różnic między osobami poddanymi hipnozie, u których stwierdzono zmniejszenie mocy widma w zakresie niskich częstotliwości (LF) i zwiększenie w zakresie wysokich częstotliwości (HF) ($p > 0,05$). Jednak zaobserwowano statystycznie istotną ($p < 0,05$) redukcję współczynnika LF/HF w porównaniu z grupą, której podano midazolam.

Wnioski: W przeciwieństwie do standardowej metody sedacji u chorych poddanych TEE stosowanie hipnozy wiązało się z istotną zmianą napięcia autonomicznego układu nerwowego serca. Sedacja za pomocą hipnozy powodowała zwiększenie aktywności przywspółczulnej, zmniejszenie aktywności współczulnej i zmianę balansu interakcji między układem współczulnym a przywspółczulnym (nerw błędny).

Słowa kluczowe: echokardiografia przezprzełykowa, zmienność rytmu serca, sedacja za pomocą hipnozy, midazolam

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Adres do korespondencji:

Dr Yuksel Dogan, Department of Cardiology, Bahçeşehir Medical University, Fatih Medical Park Hospital, Istanbul, Turkey, e-mail: yukseldogan@hotmail.com

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