



## Botulinum toxin type-A preparations are not the same medications – clinical studies (Part 2)

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### ABSTRACT

The growing number of botulinum neurotoxin type-A (BoNT/A) preparations on the market has resulted in a search for pharmacological, clinical and pharmacoeconomic differences. Patients are occasionally switched from one botulinum toxin formulation to another. The aim of this paper was to review studies that have made direct comparisons of the three major BoNT/A preparations presently on the market: ona-, abo- and incobotulinumtoxinA. We also review the single medication Class I pivotal and occasionally Class II-IV studies, as well as recommendations and guidelines to show how effective doses have been adopted in well-established indications such as blepharospasm, hemifacial spasm, cervical dystonia and adult spasticity.

Neither direct head-to-head studies nor single medication studies between all preparations allow the formation of universal conversion ratios. All preparations should be treated as distinct medications with respect to their summary of product characteristics when used in everyday practice.

**Key words:** botulinum toxin type-A, cervical dystonia, blepharospasm, spasticity, hemifacial spasm

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### Introduction

Currently, there are three commercially available botulinum neurotoxin type-A (BoNT/A) preparations available, widely used and licensed in a majority of countries: onabotulinumtoxinA (ONA-BoNT/A, Botox); abobotulinumtoxinA (ABO-BoNT/A, Dysport); and incobotulinumtoxinA (INCO-BoNT/A, Xeomin).

They have similar mechanisms of action. However, their chemical formulations, clinical potency, dosing and safety profiles are different. This can result in bio- and pharmacoeconomical equivalence problems. The discussion on bioequivalence and switching from one to another preparation is still ongoing [1, 2]. This discussion will certainly be continued in future as new preparations (e.g. daxibotulinumtoxinA, prabotulinumtoxinA) are now in clinical trials.

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**Table 1.** Selected studies on BoNT/A in treatment of blepharospasm and hemifacial spasm (dose ratio comparison between different products)

Reference	Study design	Patient characteristics and outcome	BoNT/A and dose (U)	Muscle injected/ /injection guide	Efficacy outcome/ /adverse events
Nussgens et al. 1997 [9]	Class II study DB, prospective, crossover design; comparison of ONA- and ABO-BoNT/A	n = 212 BS Duration of effect	ONA-BoNT/A Mean dose 44 U ABO-BoNT/A Mean dose 182 U Mean ratio: 1:4	Orbicularis oculi muscle	AEs: ONA-BoNT/A: 17%; ABO-BoNT/A: 24%; Ptosis (less with ONA-BoNT/A)
Sampaio et al. 1997 [8]	Prospective randomised study: a single-blind, randomised, parallel comparison	n = 91 with BS or HFS	ONA-BoNT/A or ABO-BoNT/A pre-estimated ratio: 1:4	Orbicularis oculi muscle	Similar duration of effect: 13.3 +/- 5.9 weeks for ABO-BoNT/A, and 11.2 +/- 5.8 for ONA-BoNT/A. Adverse events noted in 50% of both
Roggenkamper et al. 2006 [14]	Class I study DB, randomised, prospective, parallel design; comparison of ONA-BoNT/A and INCO-BoNT/A	n = 300 BS adjusted mean change in JRS, BDI at weeks 3, 16 Duration of effect	ONA-BoNT/A Mean dose 40.8 U INCO-BoNT/A Mean dose 39.6 U Mean ratio: 1:1	Orbicularis oculi muscle	Efficacy, AEs, duration: similar for both
Wabbels et al. 2011 [16]	Class I, DB, randomised, prospective, parallel design; comparison of ONA-BoNT/A and INCO-BoNT/A	n = 65 BS Change in BDI at weeks 4 and 8; Change in JRS; Change in patient global assessment at week 4	ONA-BoNT/A: Mean dose 29 U/eye; INCO-BoNT/A: Mean dose 27 U/eye Mean ratio: 1:1	Orbicularis oculi muscle	Similar efficacy and duration for both
Saad and Gourdeau 2014 [15]	Class II DB, randomised, split-face design; comparison of ONA-BoNT/A and INCO-BoNT/A	n = 48 BS 4 consecutive treatments JRS, BDI score at each visit. Likert scale for Orbicularis oculi strength at each visit. Likert scale for spasm severity at each visit. Patient preference	ONA-BoNT/A or INCO-BoNT/A mean dose 19.9 U/eye. Mean ratio: 1:1	Orbicularis oculi muscle	Similar effects. AEs: not available
Grosset et al. 2015 [19]	Open study comparison of ABO-BoNT/A and INCO-BoNT/A	n = 19 BS n = 91 HFS 4 consecutive treatments Patient assessment of treatment efficacy (7-point scale comprising excellent, very good, good, fairly good, fair, poor, or negligible) and duration of treatment effect (a 4-point scale comprising excellent, good, a few weeks, or short-lived)	ABO-BoNT/A: Mean dose BS 80 U HFS 46 U. INCO-BoNT/A: Mean dose BS 20 U HFS 11 U. Mean ratio: 4:1	Orbicularis oculi muscle	Similar duration of effect
Kollewe et al. 2015 [20]	Open study	n = 288 BS 8 consecutive treatments GCI	Mean doses: ONA-BoNT/A 47.1 U; INCO-BoNT/A 62.11 U; ABO-BoNT/A 120.35 U. Mean ratios: ONA-BoNT/A to ABO-BoNT/A 1:2.3 ONA-BoNT/A to INCO-BoNT/A 1:1.2 INCO-BoNT/A to ABO-BoNT/A 1:2.0	Orbicularis oculi muscle 3-4 site injections	Similar effects and AEs in all three

ABO-A — abobotulinumtoxinA; AE — adverse event; BDI — Blepharospasm Disability Index; BDS — Blepharospasm Disability Scale; BoNT — botulinum neurotoxin; CI — confidence interval; DB — double-blind; INCO-A — incobotulinumtoxinA; JRS — Jankovic Rating Scale; ONA-A — onabotulinumtoxinA; PBO — placebo; PC — placebo-controlled; U — unit(s)

In Part 1 of this discussion, we presented the basic pharmacological differences between all three preparations [3]. Here in Part 2, the same group of authors provide a summary of product characteristics (SPC) and review the available clinical studies on major neurological indications (i.e. blepharospasm, BS; hemifacial spasm, HFS; cervical dystonia, CD; and upper and lower limb spasticity, ULS, LLS in adults), comparing all three BoNT/A preparations in terms of their bioequivalence, which is understood as clinical effectiveness, dosing and safety. Guidelines and recommendations are also included. We have prioritised randomised, double-blind studies, those directly comparing different preparations of BoNT/A, but where these are lacking we have also looked at Class II–IV studies. We review also single medication studies to make indirect comparisons for the same indication.

### Blepharospasm and hemifacial spasm

BoNT/A is considered to be the first line treatment of BS and HFS, but only a few studies have been published comparing the different preparations. According to SPC, ONA-BoNT/A and INCO-BoNT/A are injected into the medial and lateral orbicularis oculi of the upper lid and the lateral orbicularis oculi of the lower lid. The initial recommended dose is 1.25–2.5 U at each site, and it should not exceed 25 U per eye. At subsequent treatment sessions, the dose may be increased up to two-fold if the response to the initial treatments is considered insufficient. In the management of BS, the dose should not exceed 100 U in total every 12 weeks. ABO-BoNT/A is injected in an initial dose of 40 U per eye. The injection site should be localised into the junction between the preseptal and orbital parts of both the upper and lower orbicularis oculi muscles of each eye 10 U medially and 10 U into four sites. If the response to initial treatment is inadequate, it may be necessary to increase the dose at subsequent visits up to 60 U, 80 U or even 120 U. In the management of BS and HFS, the total dose should not exceed 120 U per eye every 12 weeks [4–6].

We set out the short characteristics of comparative studies in Table 1. Single medication studies are shown in Table 2.

#### ONA-BoNT/A vs. ABO-BoNT/A

The first study comparing different types of BoNT/A was published more than 25 years ago. In the 1995 study by Marion et al., 111 patients with BS and HFS with a good response to ABO-BoNT/A over at least 12 months of treatment were switched to ONA-BoNT/A with dose ratio 3:1, obtaining similar effects [7]. Two other double-blind studies included 300 patients with both BS and HFS and compared ONA-BoNT/A to ABO-BoNT/A. The authors did not observe any difference in clinical efficacy of effect duration at a dose ratio of 1:4 [8, 9]. Bihari (2005) in a cross-over prospective, open label study in a group of 27 patients with BS and nine patients with HFS, confirmed the same efficacy of both products at a dose ratio of

1:4–1:5 [10]. A retrospective study by Marchetti et al. (2005) published the results of 114 patients with BS who received for at least 12 months ONA-BoNT/A (mean dose  $33 \pm 12$  U) before switching to ABO-BoNT/A (mean dose  $147 \pm 58$  U), or conversely started with ABO-BoNT/A (mean dose  $125 \pm 49$  U) before switching to ONA-BoNT/A (mean dose  $31 \pm 10$  U) with treatment continuing for one year. The ratio of mean dose of ONA-BoNT/A and ABO-BoNT/A ranged from a low of 1:2 up to a high of 1:11 (mean 1:3 to 1:4) [11]. Bentivoglio et al. (2012) compared the pairs of treatments with a switch from one brand to another (ONA-BoNT/A and ABO-BoNT/A) in the same patient ( $n = 46$  with BS and  $n = 31$  with HFS) in consecutive sessions with overlapping clinical outcomes, and found ratios to be highly variable (range: 1:1.2–13.3). In most cases (65%), it was between 1:3 and 1:5 [12].

#### ONA-BoNT/A vs. INCO-BoNT/A

Dressler et al. (2009) published the results of a prospective study comparing ONA-BoNT/A to INCO-BoNT/A in a group of patients with different disorders. Two hundred and sixty-three patients (including 12 with BS and 17 with HFS) who had been previously treated with ONA-BoNT/A for at least 12 months under stable conditions were converted, in a blinded fashion, to INCO-BoNT/A using a 1:1 conversion ratio and with other treatment parameters identical. Patients with BS received a mean total dose of  $85.1 \pm 32.6$  U ONA- and INCO-BoNT/A and patients with HFS received  $44.7 \pm 19.5$  U. There were no subjective or objective differences between both products with respect to onset latency, maximum duration of therapeutic effect, or adverse effects [13]. The same 1:1 ratio was confirmed by two other studies [14, 15].

Wabbels et al. found that ONA-BoNT/A vs. INCO-BoNT/A (mean dose 29 U/eye and 27 U/eye respectively) had comparable magnitude and duration of benefit (13 weeks). However, a post hoc analysis showed a significantly greater number of ONA-BoNT/A treated patients reaching a responder threshold of 4 points on the total score of disability [14]. Other studies have shown that patients with BS and HFS who were treated with INCO-BoNT/A had a significantly shorter treatment interval (10.2 weeks vs. 13.0 weeks) or required a higher average dose compared to ONA-BoNT/A [2, 15, 16].

Similar results were confirmed in the TRUEDOSE Pilot Study. The objective was a retrospective evaluation of the dose utilisation of ONA-BoNT/A and INCO-BoNT/A in 14 BS patients treated over four years. Patients were switched from ONA- (mean dose 14.41 U per eye) to INCO-BoNT/A (mean dose 17.09 U). For BS, the average annual dose per patient year for ONA-BoNT/A was  $50.4 \pm 50.6$  U, and significantly lower vs. INCO-BoNT/A with an average dose of  $64.01 \pm 53.2$  U ( $p = 0.002$ ). Average total dose ratio (mean dose/year) was 1:1.27. The inter-injection intervals were significantly longer (16.25 vs. 14.24 weeks) for ONA- than for INCO-BoNT/A ( $p = 0.04$ ) [2].

**Table 2.** Selected studies on BoNT/A in treatment of blepharospasm and hemifacial spasm (single toxin, indirect comparisons possible only)

References	Study design	Patients characteristics and outcome measures	BoNT/A and dose (U)	Muscles injected	Efficacy outcome/adverse events
Jankovic and Orman 1987 [21]	Class II study blinded, prospective, crossover design	n = 12 BS Fahn scale and patient subjective scale	ONA-BoNT/A 25 U/eye, if ineffective then 50 U/eye	Orbicularis oculi muscle	Improvement, AEs, reported but no percentage numbers reported
Yoshimura et al. 1992 [22]	Randomised, double blind crossover design	n = 11 HFS Subjective improvement; analogue 10-point scale. Objective improvement (blinded review of videotapes made one month after each injection) assessed with categorical 10-point scale	ONA-BoNT/A three different doses compared to placebo Total dose 5-90 U	Selection of muscles to inject were based on clinical examination	Subjective improvement after 79% of injections. Objective improvement after 84% of injections. AEs: facial weakness (97%), facial bruising (20%), diplopia (13%), ptosis (7%)
Girlanda et al. 1996 [23]	Class II study comparing two eyes of same patient with normal saline control	n = 6 BS Subjective scale in blinded video rating	ONA-BoNT/A 20 U/eye or normal saline	Orbicularis oculi muscle	Reduction in blepharospasm AEs: not available
Truong et al. 2008 [24]	Class II study, DB, randomised, parallel group, PC	n = 123 BS Primary measure: difference in BDS	ABO-BoNT/A 40 U, 80 U, or 120 U per eye	Orbicularis oculi muscle	Disability improved in dose-related manner. AEs: ptosis (13-39-58%), blurred vision (23-19-42%), diplopia (10-16-16%) for doses 40-80-120 U respectively Comments: 80 U/eye preferred as efficacious and safe. High number of withdrawals. 35% of PBO group completed study
Jankovic et al. 2011 [25]	Class I, DB, randomised, prospective, parallel design; randomised 2:1 to INCO-BoNT/A vs. PBO	n = 109 BS JRS, BDI score at weeks 3, 6 and end of study. Time for need for new injection on basis of JRS score > 2, up to 20 weeks investigator global assessment	INCO-BoNT/A up to 50 U/eye	Orbicularis oculi muscle	Statistically significant improvement. AEs: ptosis (18.9%), dry eye (18.9%)

ABO-A — abobotulinumtoxinA; AEs — adverse events; BDI — Blepharospasm Disability Index; BDS — Blepharospasm Disability Scale; BoNT — botulinum neurotoxin; CI — confidence interval; DB — double-blind; INCO-A — incobotulinumtoxinA; JRS — Jankovic Rating Scale; ONA-A — onabotulinumtoxinA; PBO — placebo; PC — placebo-controlled; U — unit(s)

### ABO-BoNT/A vs. INCO-BoNT/A

Grosset et al. in a retrospective 12-month study assessed dose equivalence ratio between ABO-BoNT/A and INCO-BoNT/A in a group of 257 cases including 19 patients with BS and 91 with HFS. Patients were switched from ABO- (mean dose for BS 89 U and for HFS 46 U) to INCO-BoNT/A and observed for at least one year. Switching from ABO-BoNT/A to INCO-BoNT/A at a 4:1 unit ratio resulted in good therapeutic effectiveness in terms of treatment efficacy, duration of treatment effect, and adverse events profile [17].

### ONA-BoNT/A vs. INCO-BoNT/A vs. ABO-BoNT-A

Kollewe et al. published the first study comparing the efficacy and adverse effects of all three major BoNT/A preparations over a treatment time of  $11.2 \pm 4.1$  years. Two

hundred and eighty-eight patients with BS were included and 85% were treated with a stable dose: 128 patients with ONA-BoNT/A (mean dose  $47 \pm 10$  U), 84 patients with ABO-BoNT/A (mean dose  $120 \pm 35$  U), and 76 patients with INCO-BoNT/A (mean dose  $62 \pm 11$  U). No patient was switched between preparations throughout the observation period. The Clinical Global Improvement Scale score ( $2.5 \pm 0.6$ ) and adverse effects frequency (3%) were similar in all compared preparations. ONA-BoNT/A doses were 16.7% lower than INCO-BoNT/A ( $p < 0.001$ ), and the dose ratio between them was calculated as 1:1.2. Dose ratios between ONA- and ABO-BoNT/A was 1:2.3; between INCO- and ABO-BoNT/A it was 1:2.0. Therapeutic effects started after 6.1 days and lasted for 10 weeks and were not significantly different between all three products [18]. Papers including direct comparisons between preparations are set out in Table 1.

## Conclusions

- The range of conversion ratios between all three products extracted from all studies was wide: ONA- vs. INCO-BoNT/A from 1:1 to 1:1.27, and between ONA- and ABO-BoNT/A from 1:3 to 1:5
- The number of adverse effects is similar in most studies, but duration was slightly longer in ABO- vs. ONA- and ONA- vs. INCO-BoNT/A
- Based on a SPC, and having reviewed studies on the efficacy and safety of BS and HFS treatment, making comparisons between the available preparations remains difficult. This is due to the small number of Class I and II trials, differing study designs (sometimes with adopted conversion rate) and assessment scales used in these studies (VAS, Jankovic scale, blepharospasm disability scale), and differing sites of injections (pretarsal or preseptal region). We believe this results in an inability to establish a fixed conversion factor
- Dosing should be based on individual patient need according to the recommendation of the SPC for each BoNT/A preparation.

## Cervical dystonia (CD)

Due to the insufficient effects of oral pharmacological treatment of CD, BoNT/A is currently considered to be the first line therapy. According to the SPC, it is recommended that for ONA-BoNT/A a maximal dose of 200 U should be administered initially, and the dose should not exceed 300 U in subsequent treatment sessions [4]. There is a similar recommendation for INCO-BoNT/A [6]. For ABO-BoNT/A, the recommended starting dose is 500 U. As treatment is continued, the doses may be appropriately adjusted according to the treatment effects and observed side effects (e.g. dysphagia). However, the maximum dose administered must not exceed 1,000 U [5].

We set out selected comparative (direct comparison) studies in Table 3 and single medication studies (indirect comparison) in Table 4.

## Comparative studies

There is still little data on direct comparisons of individual toxin preparations in CD patients. Studies have compared mainly ONA- vs. ABO- and INCO-BoNT/A preparations and were aimed at comparing the effectiveness or side effects, searching for a conversion ratio.

### ONA-BoNT/A vs. ABO-BoNT/A

Odergren et al. included 73 patients in a randomised trial comparing ONA- and ABO-BoNT/A, who had previously been treated with BoNT/A with good results. They adopted a fixed 1:3 ratio between products and obtained a similar duration, number of side effects, and overall Tsui scale improvement [26].

A similar approach was applied by Ranoux et al. in a crossover study comparing ONA- and ABO-BoNT/A with pre-fixed conversion factors of 1:3 and 1:4. The study included patients treated successfully at least twice with ONA-BoNT/A. Each patient was subjected to three cycles of therapy. ABO-BoNT/A efficacy was significantly higher for both conversion ratios (Tsui scale, pain scale), and the effect lasted longer. However, in patients receiving ABO-BoNT/A, adverse events (mostly dysphagia) were twice as frequent regardless of the dose ratio [27].

The aim of the study conducted by Marchetti et al. was to evaluate the real-world dose utilisation of ONA- and ABO-BoNT/A for CD and BS. They abstracted utilisation data for patients who received ABO- before switching to ONA-BoNT/A, or conversely. Patients were identified during scheduled clinic visits and selected if they met the study criteria, which included treatment for at least two consecutive years (at least one year with ABO- or ONA-BoNT/A, then switched and maintained on one of them for at least another year, adjusting the dose to achieve a similar effect). A total of 114 patients were included in the assessment. Ratios of mean dose for ABO- to ONA-BoNT/A ranged from a low of 2:1 to a high of 11:1. Thirty-one percent of patients fell into the ABO- to ONA-BoNT/A ratio group of 5:1 to less than 6:1; 30% with a ratio of 4:1 to less than 5:1; and only 21% was in a range of 3:1 to less than 4:1 [11].

A double-blind, randomised crossover trial by Rystedt et al. compared ONA-BoNT/A and ABO-BoNT/A in two different dose conversion ratios (1:3 and 1:1.7) when diluted to the same concentration (100 U/mL). Forty-six patients received three different treatments: ONA- in two different doses and ABO-BoNT/A as a control treatment. Efficacy was evaluated four and 12 weeks after treatment using, among others, Toronto Western Spasmodic Torticollis Rating Scale (TWSTRS); no differences were observed. At week 12, a statistically significant difference in effect between ONA-BoNT/A (1:3) and ABO-BoNT/A was noticed, suggesting a shorter duration of effect for ONA-BoNT/A. This study showed that the ratio of 1:3 resulted in suboptimal efficacy of Botox, and indicates that the dose conversion ratio between ONA-BoNT/A 100 U/mL and ABO-BoNT/A 100 U/mL may be lower than 1:3, but this needs to be validated in a larger study [28].

In a randomised, double-blind, multicentre, non-inferiority, two-period crossover study performed by Yun et al., patients were randomly assigned to initial treatment with ABO- or ONA-BoNT/A, and they were followed up for 16 weeks after the injection. After a 4-week washout period, they were switched to the other formulation and followed up for another 16 weeks. The primary outcome was the change in the Tsui scale between the baseline and week 4 after each injection. Mean changes in the Tsui scale between baseline and 4 weeks after each injection tended to favour ONA-BoNT/A; however, this was not statistically significant ( $4.0 \pm 3.9$  points for the ABO- treatment vs.  $4.8 \pm 4.1$  points for ONA-BoNT/A;  $p = 0.091$ ). The mean changes in the Tsui scale, TWSTRS, the

**Table 3.** Selected studies on BoNT/A in treatment of cervical dystonia (dose ratio comparison between different products)

References	Study design	Patients characteristics and outcome	BoNT/A and dose (U)	Muscles injected/ /injection guide	Efficacy outcome/ /adverse events
Odergren et al. 1998 [26]	RCT, DB, parallel group, prospective multicentre study, comparison of ONA- and ABO-BoNT/A	n = 73 Patients with a minimum of four previous ONA-BoNT/A treatments, randomised to receive either clinically indicated dose of ONA-BoNT/A or ABO-BoNT/A with fixed ratio 1:3 Tsui scores, duration, adverse events	ABO-BoNT/A mean dose of 477U (range 240-720) ONA-BoNT/A mean dose of 152U (range 70-240)	Anatomical landmarks, multiple injections within muscles allowed	Tsui score, similar effect at week 4 (ABO-BoNT/A, 49%, ONA-BoNT/A, 44%) Similar duration: ABO-BoNT/A mean 83.9 days ONA-BoNT/A mean 80.7 days Similar number of AEs
Ranoux et al. 2002 [27]	RCT, DB, three cycles crossover study	n = 54 Tsui scores, TWSTRS pain scores, duration, adverse events	Effective dose of ONA-BoNT/A was changed to ABO-BoNT/A at fixed ratio 1:3 or 1:4	Anatomical landmarks. All injections performed by same neurologist blinded to treatment and using same technique: one single injection point per muscle, close to motor point	Better effect of ABO-BoNT/A at 1:3 and 1:4 ratios AEs: higher with both ABO-BoNT/A treatments Dysphagia: ONA-BoNT/A 3%, ABO-BoNT/A 15.6%, and 17.3% for conversion ratios 1:3 and 1:4, respectively
Marchetti et al. 2005 [11]	Multicentre evaluation real-world dose utilisation of ABO-BoNT/A and ONA-BoNT/A for CD and BS	n = 114 (both for BS and CD) Patients received ABO-BoNT/A or ONA-BoNT/A for at least one year before and after drug crossover	Ratios of mean dose for ABO- and ONA-BoNT/A ranged from 2:1 to 11:1	Anatomical landmarks, doses and muscles injected were determined by physician based on individual clinical presentation and outcome	ABO- vs. ONA-BoNT/A 5:1 to less than 6:1, (31%) 4:1 to less than 5:1, (30%) 3:1 to less than 4:1, (21%)
Benecke et al. 2005 [30]	DB non-inferiority study comparing INCO- and ONA-BoNT/A	n = 463 TWSTRS, pain scores, duration, adverse events	Fixed dose conversion ratio 1:1	Anatomical landmarks; doses and muscles injected were determined by physician based on individual clinical presentation	Effect, duration and AEs similar for both
Rystedt et al. 2015 [28]	DB, randomised crossover, ONA-BoNT/A and ABO-BoNT/A in two different dose conversion ratios (1:3 and 1:1.7)	n = 46 pts TWSTRS	Two different dose conversion ratios (1:3 and 1:1.7), diluted to same concentration (100 U/mL)	Anatomical landmarks; doses and muscles injected were determined by physician based on individual clinical presentation	Similar effect at week 4 (TWSTRS) Shorter duration of effect for ONA-BoNT/A AEs: similar
Yun et al. 2015 [29]	DB, randomised, multicentre, non-inferiority, two-period crossover study	n = 103 Tsui scores, TWSTRS pain scores, adverse events	Fixed dose conversion ratio 1:2.5 between ONA-BoNT/A and ABO-BoNT/A, concentration (100 U/mL)	Anatomical landmarks; doses and muscles injected were determined by physician based on individual clinical presentation	Similar effects and AEs

RCT — Randomised Controlled Trial; DB — double-blind; TWSTRS — Toronto Western Spasmodic Torticollis Rating Scale

proportion of improvement in clinical global impression and patient global impression, and the incidences of adverse events, were not significantly different between the two treatments. In conclusion, the study showed no differences between the ABO- and ONA-BoNT/A at a conversion rate of 2.5:1 [29].

### ONA-BoNT/A vs. INCO-BoNT/A

In a study comparing the effectiveness of treatment with ONA- vs. INCO-BoNT/A, Benecke et al. included a large group of 463 patients [23]. The efficacy and safety of both

preparations were compared in a 1:1 dose ratio (209 patients treated with INCO- and 205 with ONA-BoNT/A) and observed for 16 weeks. Groups did not differ significantly regarding TWSTRS scores, pain intensity, duration of improvement, or side effects [30].

### Single medication studies

We identified 11 randomised, double-blind studies on the treatment of CD with the use of various BoNT/A

**Table 4.** Selected studies on BoNT/A in treatment of cervical dystonia (single toxin with indirect comparisons only possible)

References	Study design	Patients characteristics and outcome measure	BoNT/A and dose (U)	Muscles injected/ injection guide	Efficacy outcome/ adverse events
Poewe et al. 1998 [34]	RCT, double-blind, dose-ranging, placebo-controlled	n = 75 Tsui scale, pain scale and global assessment at weeks 2, 4 and 8, AEs	ABO- BoNT/A, 250, 500, 1,000 U, placebo	Anatomical landmarks, fixed muscles: splenius capitis and contralateral sternocleidomastoid	Significant improvement at week 4 for both doses
Truong et al. 2005 [35]	RCT, double-blind, multicentre, placebo-controlled	n = 80 TWSTRS, pain scale and self-report visual analogue scale (VAS)	ABO- BoNT/A 500 U, placebo	Study medication administered by intramuscular injection into two, three, or four clinically indicated neck muscles in a single dosing session, with or without EMG guidance. Investigator determined number of injection sites per muscle and dose at each site	Significant improvement at weeks 4, 8, and 12 Median duration: 18.5 weeks AEs: similar, except blurred vision (14 vs. 0%) and muscle weakness (11 vs 0%) in ABO-BoNT/A vs. placebo group, Dysphagia (16 vs. 9%), but not significant
Comella et al. 2011 [36]	RCT, double-blind, multicentre dose-ranging, placebo controlled	n = 223 TWSTRS total score (baseline vs. week 4 AEs	INCO- BoNT/A 120 U, 240 U, or placebo	Anatomical landmarks, number of injection sites per muscle, volume injected into each muscle, and use of EMG guidance were determined at discretion of investigator	Improvement at week 4 AEs: dysphagia (2.7% vs. 11.5% vs. 24% in placebo, 120 and 240U respectively)
Charles et al. 2012 [37]	RCT, double-blind, multicentre, placebo-controlled	n = 170 CDSS and physician GAS at week 6	ONA-BoNT/A 95-360 U (mean 236 U), or placebo	Anatomical landmarks, doses and muscles injected were determined by physician based on individual clinical presentation and previously established treatment regimen	Improvement at week 6 AEs: rhinitis (6.8% and 3.7% in double-blind and open period vs. 0% placebo. Statistically significant dysphagia (6.8% vs. 8.4% vs. 3.7% placebo in double-blind open period, not statistically significant)

RCT — Randomised Controlled Trial; TWSTRS — Toronto Western Spasmodic Torticollis Rating Scale; VAS — Visual Analogue Scale; GAS — Global Assessment Scale; CDSS — Cervical Dystonia Severity Scale; EMG — electromyography

preparations. All these studies showed that BoNT/A is effective in CD therapy over a placebo. However, the used doses to achieve the effect of improvement were 500–1,000 U of ABO-BoNT/A, 95–360 U of ONA-BoNT/A, and 120–240 U of INCO-BoNT/A [31–38].

The use of EMG or US guidance vs. no guidance may have influenced the amount of BoNT/A needed, but it was not controlled for in any of these studies.

### Conclusions

- The treatment of CD is very challenging. Many factors can influence outcomes, such as: a proper pattern of CD recognition, utilising different approaches in terms of muscle selection (e.g. adopting Col-Cap concept), and injection guidance with EMG or ultrasound [39–42]
- Reviewing all cited studies, we note various approaches from real life practice up to pre-fixed ratios, different solutions, various scales used, and timelines
- The range of conversion ratios between all three products extracted from all studies is wide (ONA- vs. INCO-BoNT/A 1:1, and between ONA- and ABO-BoNT/A from 1:1.7 to 1:5)

- Regarding the studies performed, in comparing different BoNT/A preparations it is impossible to establish a fixed ratio between doses. When switching patients from one to another, one must respect the SPC specific recommendations.

### Upper limb spasticity

Botulinum neurotoxin-A is widely used in clinical practice for the treatment of this major complication following a stroke, affecting 30–40% of patients [43, 44]. Nevertheless, to date there have been no guidelines offering a unified dosage standard for consecutive muscles and different BoNT/A formulations. All three major formulations recommend different muscles and doses in their SPCs. The total dose per treatment session varies from 400 U for ONA-, 500 U for INCO-, and 1,500 U for ABO-BoNT/A [4–6]. Table 5 sets out the muscle patterns and doses extracted from SPCs of three products. With the aim of finding the possible conversion ratio between different BoNT/A products, we analysed the most important studies on the treatment of ULS with all three preparations. Adhering to the methodology that we have adopted for this

**Table 5.** Product registration recommendations in upper limb spasticity treatment for three major BoNT/A preparations

Recommended muscle	ONA-BoNT/A (Botox) (recommended dose range)	ABO-BoNT/A (Dysport) (recommended dose range)	INCO-BoNT/A (Xeomin) (recommended dose range)
Flexor carpi radialis	15–50 U	100–200 U	25–100 U
Flexor carpi ulnaris	10–50 U	100–200 U	20–100 U
Flexor digitorum profundus	15–50 U	100–200 U	25–100 U
Flexor digitorum superficialis	15–50 U	100–200 U	25–100 U
Adductor pollicis	20 U	25–50 U	5–30 U
Flexor pollicis longus	20 U	100–200 U	10–50 U
Flexor pollicis brevis / opponens pollicis	-	-	5–30 U
Brachialis	-	200–400 U	25–100 U
Biceps brachii	-	200–400 U	50–200 U
Brachio-radialis	-	100–200 U	25–100 U
Pronator teres	-	100–200 U	25–75 U
Pronator quadratus	-	-	10–50 U
Triceps brachii (long head)	-	150–300 U	-
Pectoralis major	-	150–300 U	20–200 U
Subscapularis	-	150–300 U	15–100 U
Latissimus dorsi	-	150–300 U	25–150 U
Deltoideus	-	-	20–150 U
Teres major	-	-	20–100 U
Maximal recommended dose per treatment session (according to SPCs)	400 U	1,500 U	500 U

paper, we included in our analysis double-blind, randomised, placebo-controlled trials evaluating the efficacy and safety of various preparations of BoNT/A in the treatment of upper limb spasticity (Tab. 6) [45–58]. Almost all studies evaluated BoNT/A effectiveness in post-stroke (PS) spasticity, except for Gracies et al. [54] which included post-stroke patients as well as subjects with post-traumatic brain injury.

We did not identify studies directly comparing the clinical efficacy and safety of all three BoNT/A products. All of them compared the BoNT/A preparations versus a placebo. Based on studies included in our analysis, direct comparisons of the efficacy and tolerability of these three products are impossible. Indirect comparisons of the results are also limited and inconclusive due to different patient characteristics and various treatment and evaluation methods, e.g. injected muscle groups, guidance, used scales, or follow-up duration. These different approaches can be seen in Table 6 where we set out major data from trials.

### Conclusions

- All studies confirm the effectiveness (in terms of reduction of muscle tone and in some also in simple functions) and safety of the used doses of BoNT/A market products in the treatment of ULS for a wide range of maximal doses: ONA-BoNT/A: 120–400 U; ABO-BoNT/A: 100–1,000 U; and INCO-BoNT/A: 150–400 U

- The choice of medical preparation and dose of BoNT/A should be adapted to individual patient need, but it is recommended not to exceed the maximum doses per treatment session according to the SPC
- However, in a few studies higher doses were used safely: 1,500 U of ABO-, 600 U of ONA- and 800 U of INCO-BoNT/A [59, 60]. Looking at these dosages, it is impossible to translate one result into another using a simple conversion ratio. We cannot present the recommended conversion ratio. Switching patients from one preparation to another should therefore respect the product characteristics recommendations.

### Lower limb spasticity

Product characteristics of ONA-BoNT/A recommend the administration of 300–400 U in a single treatment session of focal lower limb spasticity (LLS). The total injected dose of BoNT/A should be divided among up to six muscles (*m. gastrocnemius*, *m. soleus*, *m. tibialis posterior*, *m. flexor hallucis longus*, *m. flexor digitorum longus*, and *m. flexor digitorum brevis*), whereas SPC of ABO-BoNT/A recommend doses of up to 1,500 U with a spread in the distal muscles (*m. soleus*, *m. gastrocnemius*, *m. tibialis posterior*, *m. flexor digitorum longus*, *m. flexor digitorum brevis*, *m. flexor hallucis longus*, *m. flexor hallucis brevis*) as well as in the proximal muscles of the lower limb



**Table 6.** Selected studies on BoNT/A in treatment of upper limb spasticity

References	Study design	Patient characteristics and outcome measures	BoNT-A and dose (U)	Muscles injected/ /injection guide	Efficacy outcome/ /adverse events
Bakheit et al. 2001 [45]	RCT, multi-centre, double-blind, placebo-controlled	n = 59 PS – over 3 months  MAS, PROM, BI, pain score, GAS, physician and patient global assessment of benefit	1,000 U ABO-BoNT/A and placebo	BB, FCR, FCU, FDS, FDP / according to anatomical landmarks	Improvement at week 16 AEs: in 16 in ABO-BoNT/A group and in 20 in placebo group (mainly accidental injury, respiratory and urinary tract infections)
Brashear et al. 2002 [46]	RCT, multi-centre, double-blind, placebo-controlled	n = 126 PS – over 6 months with AS scores of at least 3 in the wrist and at least 2 in the fingers; AS for wrist, fingers, thumb; DAS in principal target domain (limb position, dressing, hygiene, pain), GAS, measurement of neutralising antibodies, AEs	200-240 U ONA-BoNT/A or placebo	FCR, FCU, FDS, FDP, FPL, ADDP / NA	Improvement up to 12 weeks;  No major AEs
Childers et al. 2004 [47]	RCT, multi-centre, double-blind, placebo-controlled, dose-ranging	n = 90 PS – mean 25.8 months from stroke onset (0.9–226.9 months) with wrist, elbow, and finger flexor spasticity MAS, physician and patient global assessments, pain, FIM and SF-36, AEs	E1: 90 U ONA-BoNT/A E2: 180 U ONA-BoNT/A E3: 360 U ONA-BoNT/A or placebo	BB, FCR, FCU, FDS, FDP / EMG guidance	Dose dependent MAS reduction in: wrist and elbow flexors up to 9 weeks, and in finger flexors up to 3 weeks. No significant changes in pain, FIM or SF-36 AEs in 83.1% (54/65) of ONA-BoNT/A group and 65.4% (17/26) of placebo group
McCorry et al. 2009 [48]	RTC, multi-centre, double-blind, placebo-controlled	n = 96 PS – over 6 months with $\geq 2$ on MAS for at least two of elbow, wrist and finger flexors; AQoL, GAS, VAS for pain evaluation, HADs, MAS, MMAS, Carer Burden Scale, Patient Disability Scale, Global Assessment of Benefit by investigator and patient, AEs	750-1,000 U ABO-BoNT/A in first cycle, 500-1,000 U in second cycle or placebo	BB, BR, B-R, TRIC, FCR, FCU, FDS, FDP, FPL/ ADDP/FPB / EMG and/or ES guidance	Significant reduction in spasticity (MAS), higher GAS scores and greater global benefit up to 20 weeks in ONA-BoNT/A vs. placebo No changes in AQoL; AEs: treatment-related in 5.5% of ONA-BoNT-A and 9.5% placebo
Kanovsky et al. 2009 [49]	RTC, multi-centre, double-blind, placebo-controlled	n = 148 PS – over 6 months with $\geq 2$ AS for wrist and finger flexors; AS, DAS, Carer Burden Scale, Global Assessment of Treatment Benefit by investigator, patient and caregiver, development of neutralising antibodies, AEs	Up to 400 U (mean 320 U) INCO-BoNT/A or placebo	Principal therapeutic target was flexed wrist and clenched fist (FCR, FCU, FDS, FDP), and additionally as needed: BB, BR, B-R, ADDP, OPPP, FPL, FPB, PT, PQ / EMG and/or ES guidance	Improvement of $\geq 1$ point in AS score at 4 weeks, improvement until week 12 in principal therapeutic target, and in some tasks of Carer Burden Scale AE in 21 pts (28.8%) in INCO-BoNT/A and 20 (26.7%) in placebo group; incidence of AEs were similar
Kaji et al. 2010 [50]	RTC, multi-centre, double-blind, placebo-controlled, dose-ranging	n = 109 PS over 6 months with focal pattern of both wrist and fingers, 3 or 4 MAS for wrist flexors, and 2+ for finger flexors on MAS for wrist, finger flexors and thumb, DAS, CGI, ADL, AEs	E1: 120–150 U ONA-BoNT/A E2: 200–240 U ONA-BoNT/A or placebo	FCR, FCU, FDP, FDS, FPL, ADDP / EMG or ES guidance	Reduction of spasticity and improvement in ADL in limb position and dressing in E2; E2 more effective than E1 in reduction of wrist spasticity; investigator's and patient's CGI significantly higher in E2 compared to placebo group; patient's CGI significantly higher at weeks 1 and 4 in E1 compared to placebo group; AEs: 47% in E2, 38% in E1 and 57% in placebo group

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**Table 6 cont.** Selected studies on BoNT/A in treatment of upper limb spasticity

References	Study design	Patient characteristics and outcome measures	BoNT-A and dose (U)	Muscles injected/ /injection guide	Efficacy outcome/ /adverse events
Wolf et al. 2012 [51]	RCT, prospective, single-centre, double-blind, placebo-controlled	n = 25 PS after 3-24 months with unilateral ULS focal spasticity in wrist or fingers, ability to initiate wrist extension of at least 10° from a fully flexed position; WMFT, MAS, AROM, SIS (quality of life), AEs	ONA-BoNT/A 300 U or placebo	Wrist and fingers flexors / according to anatomical landmarks	Improvement in MAS  No significant changes in WMFT, AROM, SIS; AEs: one related to study (swelling and localised haematoma after injections)
Marciniak et al. 2012 [52]	RCT, prospective, two-centre, double-blind, placebo-controlled	n = 21 PS – over 6 months with 3 or 4 MAS for shoulder adductors/ internal rotator and shoulder pain; MAS, PROM, daily pain ratings using VAS, DAS for dressing, hygiene, pain and cosmesis, FIM - upper body dressing, hygiene, McGill Pain Questionnaire Short Form; Fugl-Meyer Scale, AEs	ONA-BoNT/A 140-200 U or placebo	PECM (100-150 U), TM (40-60 U) / according to anatomical landmarks	Improvement in MAS, PROM, DAS for hygiene and Fugl-Meyer Scale No significant changes in FIM; AEs: none treatment-related
Rosales et al. 2012 [53]	RCT, prospective, multi-centre, double-blind, placebo-controlled	n = 163 PS after 2-12 weeks with MAS ≥ 1+ in elbow or wrist joint, Asian ethnicity; MAS, BI, mRS, Functional Motor Assessment Scale scores, PROM, AROM	ABO-BoNT/A 500 U and unstructured rehabilitation programme or placebo and unstructured rehabilitation programme	BB, BR, FCR, FCU, FDP, FDS, FPL / NA	Significant improvement in MAS at all time points (24 weeks), improvement in PROM and active finger movements (hand closed) at weeks 4, 8, and 12; no significant changes in BI, mRS, Functional Motor Assessment scores; AEs: 48 (57%) in ABO-BoNT/A and 36 (43%) in placebo group
Gracies et al. 2015 [54]	RCT, prospective, multi-centre, double-blind, placebo-controlled	n = 243 PS or PTBI – over 6 months, MAS in the PTMG ≥ 2 PGA of treatment response using a 9-point scale, DAS in principal target domain (hygiene, dressing, limb position, pain)	E1: ABO-BoNT/A 500 U E2: ABO-BoNT/A 1,000 U or placebo	PTMG among elbow, wrist, or finger flexors, and into at least two additional muscle groups from elbow, wrist, or finger flexors or shoulder extensors ES guidance	MAS score reduction in PTMG in E1 and E2 groups; superiority in PGA; no significant improvements in DAS; AEs: treatment related in 2 (2%), 6 (7%), and 7 (9%) pts in placebo, E1 and E2 groups, respectively (most commonly mild muscle weakness). All AEs – mild or moderate
Elovic et al. 2016 [55]	RCT, prospective, multi-centre, double-blind, placebo-controlled	n = 317 PS – over 3 months with flexed elbow, flexed wrist, and clenched fist with AS ≥ 2 on at each site and a clinical need for a total dose of 400 U of INCO-BoNT/A; AS of PTMG, Investigator's Global Impression of Change using a 7-point balanced Likert scale; DAS in principal target domain (hygiene, dressing, limb position, pain)	INCO-BoNT/A 400 U or placebo	1 PTMG: flexed elbow – 200 U or flexed wrist – 150 U or clenched fist – 100 U and other muscle groups - investigators decided dose and number of injection sites per muscle within predefined ranges EMG and/or ES guidance	Improvements in PTMG in AS, superiority in Investigator's Global Impression of Change, functional improvements in DAS; AEs: 47 of 210 subjects. AE of special interest in 7 subjects (3.3%), most commonly dry mouth (4 subjects)
Rosales et al. 2018 [56]	RCT, prospective multi-centre, double-blind, placebo-controlled	n = 42 PS – 2–12 weeks with MAS ≥ 2; time between UL injection, MAS, UL active motor function, time to reach re-injection criteria, global assessment of change	ABO-BoNT/A 500 U or placebo	PTMG (most commonly – elbow flexors) / NA	Increased time to re-injection, prolonged MAS improvements AEs: 23 adverse events in 12 patients; mostly mild-to-moderate intensity

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**Table 6 cont.** Selected studies on BoNT/A in treatment of upper limb spasticity

References	Study design	Patient characteristics and outcome measures	BoNT-A and dose (U)	Muscles injected/ /injection guide	Efficacy outcome/ /adverse events
Abo et al. 2020 [57]	RCT, prospective, multi-centre, double-blind, placebo-controlled, dose ranging	n = 131 PS with MAS scores at least 3 in elbow and at least 2 in wrist or fingers; MAS for elbow, wrist, fingers, thumb. DAS in principal target domain (limb position, dressing, hygiene, pain), CGI	ONA-BoNT/A 400 U (240U in forearm and 160 U in elbow flexors) or single treatment of ONA-BoNT/A (240 U in forearm and placebo in elbow flexors)	FCR, FCU, FDP, FDS, FP, ADDP additional injection: BB, B, BR; anatomical landmarks	Forearm MAS reduction in ONA-BoNT/A and forearm only group; elbow flexors greater MAS reduction. Improvement in DAS, Investigator's CGI – similar in both groups
Lindsay et al. 2020 [58]	RCT, prospective, single-centre, double-blind, placebo-controlled	n = 93 PS after 6 weeks, with spasticity and ARAT grasp score $\leq 2$ ; EMG, Tardieu scale, PROM, ARAT	ONA-BoNT/A 160 U or placebo	B, BB, FDS, FDP, FCU, FCR ES or US guidance	Spasticity reduction in ONA-BoNT/A group with significant difference between weeks 2 and 12 (elbow) and weeks 2 and 6 (wrist); slower development of contracture, PROM higher in E group. No differences in ARAT between groups

RCT — randomised controlled trial; PS — post stroke; MAS — modified Ashworth scale; PROM — passive range of motion; BI — Barthel Index, Goal Attainment Scaling; BB — biceps brachii; FCR — flexor carpi radialis; FCU — flexor carpi ulnaris; FDS — flexor digitorum superficialis; FDP — flexor digitorum profundus; AE — adverse event; AS — Ashworth Scale; DAS — Disability Assessment Scale; FPL — flexor pollicis longus; ADDP — adductor pollicis; NA — not applicable; FIM — functional independence measure; SF-36 — 36-Item Short-Form Health Survey; E1/E2/E3 — experimental groups; EMG — electromyography; pts — patients; AQoL — Assessment of Quality of Life scale; VAS — visual analogue scale; HADs — Hospital Anxiety and Depression Rating Scale; MMAS — Modified Motor Assessment Scale; BR — brachialis; B-R — brachio-radialis; TRIC — triceps; FPB — flexor pollicis brevis; ES — electrostimulation; OPP — opponens pollicis; PT — pronator teres; PQ — pronator quadratus; CGI — Clinical Global Impression; ADL — activities of daily living; WMFT — Wolf Motor Function Test; AROM — active range of motion; SIS — Stroke Impact Scale; PECM — pectoralis major; TM — teres major; mRS — modified Rankin Score; PTBI — post traumatic brain injury; PGA — Physician Global Assessment; PTMG — primary target muscle group; UL — upper limb; ARAT — Action Research Arm Test; US — ultrasound

(*m. rectus femoris*, *m. hamstrings*, *m. adductor magnus*, *m. adductor longus*, *m. adductor brevis*, *m. gracillis*, *m. gluteus maximus*).

There is no recommendation for treatment of focal lower limb spasticity in the INCO-BoNT/A SPC.

The only study that provides findings on the conversion ratio (ABO-BoNT/A vs. ONA-BoNT/A) for lower limb muscles was performed in a group of healthy volunteers [61]. A double-blind, randomised, dose-escalation study assessed the electrophysiological response of *extensor digitorum brevis* muscle after BoNT/A injection. Dose response curves for 1–20 U of ABO-BoNT/A and ONA-BoNT/A showed an initial rapid decrease in compound muscle action potential (CMAP) at doses ranging from 1 to 6 U, although this decrease was lower at higher concentrations. Statistical modelling predicted that, at the lower concentration, a mean decrease in CMAP to 73% of baseline value would be achieved with 1 U of ONA-BoNT/A. For a comparable effect, 1.57 U of ABO-BoNT/A would be required. The authors concluded that a dose ratio equivalence of 3:1, tested in control clinical trials, would be within the statistical error limits of the model [61].

There are no studies comparing head-to-head the effectiveness and safety profile of different BoNT/A formulations in the treatment of adult LLS. But there have been nine randomised controlled trials (RCTs) evaluating the effectiveness of different preparations of BoNT/A in reducing ankle plantar-flexor spasticity [62–70]. These may indirectly show what doses were used to achieve statistically meaningful effects. However, seeking a conversion ratio based on such a comparison is inappropriate. Detailed descriptions of pivotal studies of both ONA- and ABO-BoNT/A in LLS are set out in Table 7.

The doses tested were established at the beginning of most studies, and ranged from 500 up to 1,500 U of ABO- and up to 400 U of ONA-BoNT/A. Adverse events in treatment groups were usually more frequent when compared to a placebo, but either not clinically relevant or not medication-related. In one study, in approximately 20% of patients a significant reduction of muscle tone was noticed up to week 16 [63].

There has been no RCT evaluating INCO-BoNT/A in the treatment of LLS. An open-label study assessed 71 patients with stroke-related ankle plantar-flexor muscles spasticity treated with a single injection of INCO-BoNT/A at a maximum total dose of 180 U for a change in MAS, frequency of daily spasm, and passive ankle dorsiflexion grade of motion. A significant reduction in MAS and improvement in other evaluated parameters at 30 days was reported (MAS  $t_0 = 3.9 \pm 0.6$ ;  $t_1 = 2.5 \pm 1.0$ ;  $p = 0.00$ ) and also at 90 days (MAS  $t_0 = 3.9 \pm 0.6$ ;  $t_1 = 3.0 \pm 1.0$ ;  $p = 0.00$ ) of follow-up. During the study, only 11% of patients experienced treatment-emergent, but reversible, adverse events [71].

It is difficult to weigh up the similarities and differences between available studies concerning different BoNT/A medications efficacy in the treatment of LLS in adults. These studies shared no common endpoints except for MAS of the ankle plantar flexor muscles [62–70]. All available studies confirm a beneficial effect in reducing MAS score in patients treated with BoNT/A. The scheme of BoNT/A injection differed between the studies with hamstrings being injected, if needed, in the Wein study [62]. In all studies, except for that by Pittock et al. [64], selected muscles were targeted using ES, EMG or US guidance.

**Table 7.** Selected studies on BoNT/A in treatment of spasticity of ankle plantar flexor muscles

References	Study design	Patient characteristics and outcome measures	BoNT/A and dose (U)	Muscles injected/ injection guide	Efficacy outcome/ adverse events
Pittock et al. 2003 [64]	RCT, double-blind, dose-ranging, placebo-controlled	n = 234 MAS for ankle plantar flexor, 2MWT, step length, stepping rate, RMA, PROM of ankle, subjective assessment of pain in knee, leg, ankle, foot	3 doses of abo-BoNT/A: 1st group (59 pts): 500 U; 2nd group (60 pts): 1,000 U; 3rd group (60 pts): 1,500 U	GM, GL, SOL; anatomical landmarks	MAS score reduction throughout study period in all groups; greatest improvements in MAS score in 3 <sup>rd</sup> group; AEs: 130 adverse events recorded by 68 out of 234 pts (10 pts receiving abo-BoNT/A considered severe AE and related to treatment: pharyngitis, dysphagia, headache, somnolence, dizziness, pain, asthenia, abnormal gait)
Mancini et al. 2005 [68]	RCT, double-blind, dose-ranging	n = 45 MAS and MRC of spastic foot, gait assessment, Achilles tendon clonus, VAS for gait function and pain	3 doses of ONA-BoNT/A: 1st group (15pts): 167 U; 2nd group (15pts): 322 U; 3rd group (15pts): 540 U	GM, GL, TP, SOL; EMG guidance	Reduction of MAS score in all 3 groups; AEs: in 3rd group (prolonged weakness of treated limb, flu-like syndrome, oedema of injected limb)
Kaji et al. 2010 [65]	RCT, double-blind, placebo-controlled, single cycle	n = 120 MAS for ankle plantar-flexor muscles, gait pattern, speed of gait, CGI	300 U ONA-BoNT/A; placebo	SOL, GM, GL, TP; EMG or ES guidance	Significant improvement in MAS and CGI (investigator). No significant differences in gait patterns and speed; AEs: 7 pts (myalgia)
Gracies et al. 2017 [63]	Single-cycle multicentre, RCT, double-blind, placebo-controlled	n = 331 MAS for ankle plantar-flexor muscles, comfortable bare-foot walking speed, PGA	1,000 U and 1,500 U of ABO-BoNT/A; placebo	SOL, GM, GL; ES guidance	Consistent efficacy in MAS for 1,500 U AEs: falls, pain in extremities, muscle weakness
Wein et al. 2018 [62]	Multicentre, RCT, double-blind, placebo-controlled	n = 447 MAS for ankle plantar-flexor muscles, CGI, GAS, pain scale	ONA-BoNT/A (≤ 400 U); placebo	SOL, GM, GL, TP, others (FDL, FDB, FHL, EH, RF)* EMG and US guidance	Significantly improved MAS, CGI, and GAS scores vs. placebo AE: 39pts (injection site pain, injection site mass, muscular weakness)

\*maximum permitted dose in optional muscles, to a total additional dose of ≤ 100 U during double-blind phase; SOL — soleus; GM — gastrocnemius medial head; GL — gastrocnemius lateral head; TP — tibialis posterior; FDL — flexor digitorum longus; FDB — flexor digitorum brevis; FHL — flexor hallucis longus; EH — extensor hallucis; RF — rectus femoris; PGA — physician global assessment; 2MWT — 2-min walking test; RMA — Rivermead Motor Assessment; PADFM — passive ankle dorsiflexion grade of motion; SFS — spasm frequency scale; AE — investigator-determined treatment-related adverse events

The presented studies reported that amounts of ONA-BoNT/A (range 300-400 U), ABO-BoNT/A (500-1,500 U) and 180 U of INCO-BoNT/A were effective and safe.

### Conclusions

- The comparative study was performed in lower limb muscles of healthy volunteers without spasticity, using an electrophysiological method of assessment
- It is challenging to establish the comparative potencies and the equivalence ratio between ABO-BoNT/A, INCO-BoNT/A, and ONA-BoNT/A in the treatment of LLS limb spasticity, as doses were adapted in almost all studies and based on diverse protocols, with no head-to-head designs.

### Recommendations and guidelines

In 2009, the US Food and Drug Administration (FDA) established non-proprietary names for the BoNT/A preparations manufactured by Allergan (onabotulinumtoxin A), Ipsen (abobotulinumtoxin A), and Merz (incobotulinumtoxin A). This decision reflected the opinion that individual BoNT/A brands should not be treated as interchangeable due to different purification methods and differences in the final product of purification, different ways of assessing activity, as well as different units in which activity is expressed [72, 73]. Non-proprietary names were also intended to prevent possible errors resulting from the use of the same abbreviations for BoNT/A products supplied to the market by different manufacturers.

## Dystonia

Practice guidelines for the BoNT/A treatment of movement disorders were published for the first time by the American Academy of Neurology (AAN) in 2008 [74]. This document summarised the available studies on the use of BoNT/A, /B in the treatment of BS, CD, HFS, limb and laryngeal dystonia, tics and essential tremor.

Botulinum toxin type-A was assigned a level A recommendation only for the treatment of CD. This was based on the results of seven Class I studies (two with ONA-BoNT/A, two with ABO-BoNT/A, and three with type B toxin). Level B recommendation was assigned for the treatment of BS (two Class II studies with Botox), focal upper limb dystonia (one Class I study with ABO-BoNT/A and three Class II studies with ONA-BoNT/A), laryngeal dystonia (one ONA-BoNT/A Class I study) and essential tremor (two Class II studies with ONA-BoNT/A).

The guidelines on the diagnosis and treatment of primary dystonias published by the European Federation of Neurological Societies (EFNS) in 2011 were less detailed, and all marketed formulations of BoNT/A were considered as the same class [75]. The main recommendations considered BoNT/A as a first-line treatment for primary cranial (excluding oromandibular), writer's cramp and CD (level A) [75].

Updated AAN practice guidelines for the BoNT/A treatment of BS, CD, adult spasticity and headache were published in 2016 [76]. The authors noted that there are important differences from a clinical point of view between BoNT/A preparations, including potency and duration of action. Therefore, in the updated document, the efficacy and safety of each preparation was evaluated separately. This approach resulted in a reduction in the level of recommendation in individual indications. Only ABO-BoNT/A obtained a level A recommendation for treatment of CD (two Class I studies). Both ONA-BoNT/A (one Class I and one Class II study) and INCO-BoNT/A (one Class I study) were assigned level B. Moreover, the AAN noted that the results of one (Class I) comparative study showed that ABO- and ONA-BoNT/A are probably equally effective in treating CD. ONA-BoNT/A (two Class II studies) and INCO-BoNT/A (one Class I study) were considered to be probably effective (Level B) in BS, and ABO-BoNT/A was assigned a level C recommendation (one Class II study) in this indication. According to comparative (two Class I and one Class II) studies, ONA- and INCO-BoNT/A are equivalent in efficacy in treating BS, while ABO- and ONA-BoNT/A are possibly equivalent (one Class II study) [76].

## Spasticity in adults

The first report of the Therapeutics and Technology Assessment Subcommittee of the AAN on the treatment of spasticity with BoNT/A was published in 2008 [74]. The conclusion was that BoNT/A is effective in the treatment of ULS in adults (level A). This was based on six Class I studies

including ABO-BoNT/A and four Class I studies with the use of ONA-BoNT/A. The therapy was also considered effective in LLS (two Class I studies of ABO- and one Class I study of ONA-BoNT/A). Botulinum toxin injections were found to be effective for reducing muscle tone and increasing the range of motion in affected limbs, and probably effective in improving active function (level B, one Class I study of ABO-BoNT/A). There were no specific recommendations regarding the differences between products [74].

A European Consensus on the use of BoNT/A in spasticity resulting from the collaboration of 28 experts from 16 countries was published in 2009 [77]. The authors based their conclusions on the results of 21 randomised clinical trials (12 in upper limbs, seven in lower limbs and two in mixed upper and lower limbs) as well as on the results of one meta-analysis. At that time, only ONA- and ABO-BoNT/A data were available, and the maximum recommended single doses for these preparations were 600 U and 1,500 U, respectively.

The main conclusion was that BoNT/A significantly reduced muscle tone and improved passive function in adult subjects with spasticity. The authors also attempted to take a position on the issues that were not answered directly by the results of controlled studies in spasticity. The unwanted spread of toxin from the site of injection is a potential cause of side effects related to weakness of adjacent and distant muscles. From a clinical point of view, the low migration potential is a desirable feature that reduces the risk of side effects, something especially important in spasticity where high doses of drugs are used. ABO- and ONA-BoNT/A migration potentials were not compared in spasticity studies. However, the results of studies in hyperhidrosis and CD showed that ONA- administration was associated with less migration than in the case of ABO-BoNT/A. The contributors to the Consensus clearly expressed their negative opinion on the conversion in clinical practice of doses of BoNT/A preparations supplied by various manufacturers [77]. This was best expressed by Aoki et al.: "It is important that clinicians are familiar with the characteristics and dosages of each preparation they use, and do not try to convert or extrapolate from one preparation to another." [78].

The updated 2016 AAN practice guidelines concluded that all three commercially available BoNT/A formulations are effective in ULS (level A). The data confirmed that they are effective in reducing muscle tension and improving passive function. ABO- and ONA-BoNT/A were also recommended (level A) for the treatment of LLS. In the case of INCO-BoNT/A, data on its effectiveness in lower limb spasticity was considered insufficient [79].

## Conclusions

- No published recommendations have suggested any conversion ratios between dosages of specific BoNT/A formulations. Even so, when suggesting that two preparations are equal in terms of efficacy, this means that a significant

treatment effect has been achieved in a Class I or II study for a specific indication

- It is impossible to compare the specific doses used and translate them into the ratio between them.

## Summary

Having reviewed all studies using BoNT/A different preparations for CD, BS, HFS and ULS and LLS, despite there being a number of direct comparative studies, there is still no definitive evidence on clear ratios between preparations.

We therefore conclude that despite the similar molecular mechanisms of different BoNT/A preparations, in terms of basic and clinical studies they should be considered to be distinct medications. All should be used in accordance with their individual SPC. The ongoing clinical trials with new (DAXI or PRA-BoNT/A) formulations will make this discussion even more difficult and complex.

We have not mentioned so far differences in the potency of neutralising antibodies (NAB) formation. Preparations may differ in terms of this potency, and switching the treatment from one to another preparation, as suggested by Heffer et al., may be helpful. During the 48-week period of INCO-BoNT/A treatment, NAB titres in patients with previously ineffective treatment with the use of other preparations decreased in 32.2%, did not change in 45.2%, and increased in only 22.6% of patients. Thus, repeated treatment with a low dose of 200 U INCO-BoNT/A over 48 weeks provided a beneficial clinical long-term effect [80]. This gives rise to a new perspective regarding the problem of switching between these medications in clinical practice.

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