

# Study of the changes in respiratory function in self-contained underwater breathing apparatus divers

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## ABSTRACT

**Background:** The objective was to investigate the respiratory function of professional divers by conducting spirometry and to compare the data obtained with those of non-divers.

**Materials and methods:** This study involved 52 military divers who carried out dives at small and medium depths using a self-contained underwater breathing apparatus (SCUBA) with open-circuit regulators attached to a mouthpiece. The control group consisted of 48 persons from deck commands with similar physiological characteristics and lifestyle that were not divers and had never been under increased pressure.

**Results:** It was found that, compared with non-divers, the spirometry parameters of the divers are characterised by higher values of forced vital capacity (FVC) of the lungs ( $p = 0.02$ ), but significantly lower values of the mid-expiratory flow (MEF) parameters:  $MEF_{25}$  ( $p = 0.06$ ),  $MEF_{50}$  ( $p = 0.04$ ), and  $MEF_{75}$  ( $p = 0.01$ ), as well as for the ratio of forced expiratory volume in 1 second ( $FEV_{1}$ ) to forced vital capacity ( $FEV_{1}/FVC$ ;  $p = 0.001$ ) and  $MEF_{25-75}/FVC$  ratio ( $p < 0.001$ ).

**Conclusions:** Hyperoxia, gas decompression bubbles, hypothermia, mouth-breathing dry, cold, compressed air, and other factors accompanying the diving activity are capable of initiating damage to the airways, which is reflected in characteristic changes in spirometry. The pattern of these changes is consistent with small airway obstruction and they could be related mostly to diving activities.

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**Key words:** spirometry of divers, airway injuries in divers, respiratory function

## INTRODUCTION

Divers working on small and medium depths represent the main group of the representatives of this profession; however, the nature of functional changes in their organisms, including those involving the respiratory system, have not been clearly explained. Diving is a strenuous underwater activity in which environmental conditions affect the functions and structure of tissues. Of all body systems, the respiratory system is the most affected by diving, and from this point of view, pulmonary function test of the divers can give us valuable information about the consequences of this activity.

Early studies in commercial offshore divers [1] and one study in recreational self-contained underwater breathing apparatus (SCUBA) divers [2] indicated an accelerated loss of forced vital capacity (FVC) over time that was associated with diving exposure. Long-term effects on respiratory func-

tion have been found in commercial divers who perform deep dives [3]. In contrast to these results from commercial diving cohorts, more recently a number of studies in military or recreational SCUBA divers using air or nitrox reported no accelerated decline in lung function over time. A study of divers who dive in shallow water using compressed air showed lower mid-expiratory flow at 25% of vital capacity ( $MEF_{25}$ ) than controls [4]. Another study at 93 United States Navy divers [5] showed higher FVC (12.2%) than predicted and forced expiratory volume in 1 second ( $FEV_{1}$ ) 4.3% below predicted values. Years of diving was not significantly related to lung function. In a study on 120 military divers, Najim AH Alewi et al. [6] found that forced expiratory time (FET) was significantly higher in divers than in non-divers. All other pulmonary function tests were found to be lower in divers as compared with non-divers.



**Table 1.** Comparison of physiological characteristics of diving group and controls

Parameters	Age [years]	Height [cm]	Weight [kg]	BMI [kg/m <sup>2</sup> ]	Atopics [%]	Smokers [%]	Smoking index
Divers	35.20 ± 10.050	178.50 ± 8.250	84.30 ± 11.045	25.80 ± 4.600	19.60	25.00	14 (10–21)
Controls	36.40 ± 11.025	177.50 ± 6.750	82.40 ± 10.175	25.70 ± 4.400	18.75	22.92	8 (9–12)
P	NS	NS	NS	NS	NS	NS	NS

Values are means ± standard deviation; only values of smoking index are medians with range. Differences were considered statistically significant at  $p < 0.05$ . BMI – body mass index; NS – not significant

It can be said that the results of the various studies assessing the consequences of regular underwater dives are contradictory. The influences reported range from insignificant [7] to substantial [8]. The published studies usually include a small number of subjects and are relatively underpowered, so it is necessary to conduct more observational studies to determine the impact of the diving activity on the diver's respiratory function.

The purpose of this paper is to present the results of a comparative study of respiratory function of professional military divers by carrying out a spirometry and to compare the results with the data obtained in non-divers.

## MATERIALS AND METHODS

The results of the study of 52 military divers using SCUBA with open-circuit regulators attached to a mouth-piece were analysed. Dives were carried out in small and medium depths, using compressed air as breathing gas. The control group consisted of 48 persons from deck commands with similar physiological characteristics and lifestyle who were not divers and had never been under increased pressure. The lung function was assessed with a spirometer (Cosmed-Pony FX, Italy). Measurements were performed in accordance with the recommendations of the American Thoracic Society and European Respiratory Society (ATS/ERS, 2005) [9]. The following indicators were analysed:

- forced vital capacity (FVC);
- forced expiratory volume in 1 second ( $FEV_1$ );
- peak expiratory flow (PEF);
- forced expiratory flow (FEF), also known as mid-expiratory flow (MEF); the rates at 25%, 50% and 75% FVC;
- forced expiratory flow between 25% and 75% ( $FEF_{25-75}$ ),  $MEF_{25-75}$ ;
- $FEV_1/FVC$  ratio, also called Tiffeneau-Pinelli index;
- $FEF_{25-75}/FVC$  ratio.

We took into account the smoking rates among the divers, because it is a proven risk factor of developing chronic obstructive pulmonary disease (COPD) [10]. The smoking index is an empirically established indicator that shows the relationship between smoking rates and the likelihood of developing COPD. It is calculated by multiplying the number of cigarettes smoked per day by the smoking years and

dividing the resulting number to 20. If the smoking index is higher than 10, there is a high risk of developing COPD.

The statistical analysis was performed using the IBM SPSS Statistics Version 25 software package. The characteristics of the groups are presented as means values standard deviation ( $M \pm SD$ ).

The total length of service of the divers was  $10.2 \pm 2.500$  (range 5–16) years. Underwater experience: the total number of hours under water was  $2028.50 \pm 358.750$  (range 500–3500), average depth of dives was  $13.75 \pm 0.575$  (range 12–16) metres, and maximum depth of dives was  $39.50 \pm 8.250$  (range 30–60) metres.

The study groups were not statistically significantly different in age, height, body weight, percentage of smokers and the smoking index, or the proportion of subjects with a history of atopy (Table 1). Differences were considered statistically significant at  $p < 0.05$ .

## ETHICAL CONSIDERATIONS

Throughout the research processes we have observed the ethical principles for medical research involving human subjects of the Declaration of Helsinki.

## RESULTS

The absolute values of spirometry parameters of divers and the control group are presented in Table 2. The parameters in percentage of the predicted values are presented in Table 3.

For 3 divers Tiffeneau's index did not reach 70%, although they showed  $FEV_1$  values above 100% of the predicted values. These divers underwent additional medical tests to reject the presence of bronchial obstruction. There were no such cases in the control group.

## DISCUSSION

Forced vital capacity, expressed both in absolute values and in percentage of predicted values, was higher for the divers compared to controls. Differences in volume parameters ( $FEV_1$  and PEF) were not found, but the other flow parameters ( $MEF_{50}$ ,  $MEF_{25}$ ,  $FEF_{25-75}$ ) were higher in subjects in the control group. Also, the  $FEV_1/FVC$  and  $FEF_{25-75}/FVC$  ratios were higher in the control group. Recent research

**Table 2.** Values of the parameters of the divers and the control group

Parameters	Divers	Control group	p
FVC [L]	5.7 ± 0.820	5.3 ± 0.710	0.02
FEV <sub>1</sub> [L]	4.3 ± 0.630	4.3 ± 0.705	NS
PEF [L/s]	10.0 ± 1.840	9.9 ± 1.720	NS
MEF <sub>75</sub> [L/s]	7.8 ± 1.480	8.5 ± 1.620	0.05
MEF <sub>50</sub> [L/s]	4.3 ± 1.280	4.9 ± 1.320	0.04
MEF <sub>25</sub> [L/s]	1.4 ± 0.560	1.8 ± 0.610	0.01
FEF <sub>25-75</sub> [L/s]	3.5 ± 0.950	4.0 ± 1.050	0.01
FEV <sub>1</sub> /FVC	0.78 ± 0.060	0.82 ± 0.040	0.002
FEF <sub>25-75</sub> /FVC	0.66 ± 0.190	0.80 ± 0.160	0.001

Values are means ± standard deviation. Differences were considered statistically significant at  $p < 0.05$ ; NS – not significant; FVC – forced vital capacity; FEV<sub>1</sub> – forced expiratory volume in 1 second; PEF – peak expiratory flow; MEF – mid-expiratory flow; FEF – forced expiratory flow; FEF<sub>25-75</sub> – forced expiratory flow between 25% and 75%

**Table 3.** Values of the parameters of the divers and the control group in percentage of the predicted values

Parameters	Divers, % of predicted	Control group, % of predicted	p
FVC [L]	113.2 ± 16.110	105.6 ± 9.050	0.03
FEV <sub>1</sub> [L]	105.3 ± 13.960	105.8 ± 11.870	NS
PEF [L/s]	107.1 ± 11.750	102.9 ± 10.660	NS
MEF <sub>75</sub> [L/s]	98.5 ± 14.120	102.0 ± 13.640	NS
MEF <sub>50</sub> [L/s]	83.4 ± 9.650	93.4 ± 10.110	0.04
MEF <sub>25</sub> [L/s]	58.2 ± 8.780	75.7 ± 9.020	0.002
FEF <sub>25-75</sub> [L/s]	77.4 ± 10.980	87.4 ± 11.450	0.02

Values are means ± standard deviation. Differences were considered statistically significant at  $p < 0.05$ ; NS – not significant; FVC – forced vital capacity; FEV<sub>1</sub> – forced expiratory volume in 1 second; PEF – peak expiratory flow; MEF – mid-expiratory flow; FEF – forced expiratory flow; FEF<sub>25-75</sub> – forced expiratory flow between 25% and 75%

suggests that FEF<sub>25-75</sub> or FEF<sub>25-50</sub> may be a more sensitive parameter than FEV<sub>1</sub> in the detection of obstructive small airway disease [11, 12]. The data we have obtained show similar results.

There are many factors accompanying diving that are capable of causing an adverse effect on the respiratory system and numerous physical and chemical changes. Stringent medical requirements for the health of military divers lead to better functional reserves and adaptive capabilities of this category of persons. On the other hand, higher environmental pressures, higher density of breathing mixture, hyperoxia, and decompression stress are most important factors. Moreover, diving is associated with development of early airway hyperresponsiveness in atopic subjects [13]. Under the influence of these stressors there are significant changes in the mechanics of breathing, pulmonary circulation and the respiratory drive, aimed at maintaining an adequate gas exchange under hyperbaric conditions.

Frequent diving can result in persistent long-term changes from the respiratory organs [14]. The results of various studies on the impact of regular underwater diving are controversial. Skogstad suggest that diving has con-

tributed to the reduction in lung function [15]. However, the analysis of literature data suggests that professional divers may notice certain changes in external breathing, which result in an increase in static pulmonary volumes and a moderate reduction in forced expiratory volumes [8, 14]. We found a small increase in the FVC without a proportional increase in the speed indicators (MEF<sub>25</sub>, MEF<sub>50</sub>, MEF<sub>75</sub> and FEF<sub>25-75</sub>).

The causes and mechanisms of development of the described changes, as well as their physiological significance, remain unclear. The obstructive type of imbalance between vital capacity and FEV<sub>1</sub> can be determined by the inborn characteristics of the divers, as well as unfavourable environmental factors. Some studies have demonstrated that these changes are associated with bronchial hyperreactivity and are likely to play a role in the development of bronchial asthma and COPD [16].

The hyperoxia, decompression gas bubbles, hypothermia, which accompanies diving activity are able to initiate damage of the airways and pulmonary parenchyma. When using an open-circuit diving regulators, the mouth-breathing of dry, cold, compressed air can irritate the airways and pro-

voke further damage of the airway epithelium and changes in airway wall structure and function [17]. An increase in bronchial susceptibility to bronchoconstrictive factors during diving [13], as well as the rapid rate of decrease in FEV<sub>1</sub> and FEF<sub>25–75</sub> in divers, also shown in dynamic observation [15], confirm these findings.

## CONCLUSIONS

Hyperoxia, gas decompression bubbles, hypothermia, mouth-breathing of dry, cold, compressed air, and other factors accompanying the diving activity are capable of initiating damage to the airways, which is reflected in characteristic changes in spirometry. The pattern of these changes is consistent with small airway obstruction and they could be related mostly to diving activities.

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