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DOI: 10.5603/CJ.a2018.0052
Article type: Original articles
Submitted: 2018-01-13
Accepted: 2018-03-01
Published online: 2018-05-08

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Mechanical efficiency of high versus moderate intensity aerobic exercise in coronary heart disease patients: A randomized clinical trial

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Abstract

Background: Mechanical efficiency (ME) refers to the ability of an individual to transfer energy consumed by external work. A decreased ME, could represent an increased energy cost during exercise and may, therefore, be limited in terms of physical activity. This study aimed to compare the influence of two different exercise protocols: moderate continuous training (MCT) versus high intensity interval training (HIIT), as part of a cardiac rehabilitation program on ME values among coronary patients.

Methods: 110 coronary patients were assigned to either HIIT or MCT groups for 8 weeks. Incremental exercise tests in a cycle ergometer were performed to obtain VO₂peak. Net energy expenditure (EE) and ME were obtained at intensities
corresponding to the first (VT₁) and second (VT₂) ventilatory thresholds, and at VO₂peak.

**Results:** Both exercise programs significantly increase VO₂peak with a higher increase in the HIIT group (2.96 ± 2.33 mL/kg/min vs. 3.88 ± 2.40 mL/kg/min, for patients of the MCT and HIIT groups respectively, p < 0.001). The ME at VO₂peak and VT₂ only significantly increased in the HIIT group. At VT₁, ME significantly increased in both groups, with a greater increase in the HIIT group (2.20 ± 6.25% vs. 5.52 ± 5.53%, for patients of the MCT and HIIT groups respectively, p < 0.001).

**Conclusions:** The application of HIIT to patients with chronic ischemic heart disease of low risk resulted in a greater improvement in VO₂peak and in ME at VT₁, than when MCT was applied. Moreover, only the application of HIIT brought about a significant increase in ME at VT₂ and at VO₂peak.

**Key words:** coronary artery disease, cardiopulmonary exercise test, high interval training, mechanical efficiency, energy expenditure

**Introduction**

Mechanical efficiency (ME) refers to the ability of an individual to transfer energy consumed by external work. Most studies that assess the efficiency of the different cardiac rehabilitation exercise programs evaluate the modification of the cardiovascular risk factors, quality of life and clinical variables associated with the prognosis of morbidity and mortality (i.e. VO₂peak), but there is very little research that evaluates ME, even though it provides important information concerning biomechanical adaptations and the use of the energy sources associated with clinical training and therefore the functional capacity of patients.

A decreased ME, which indicates that more energy is consumed at a given work output, could represent an increased energy cost of breathing during exercise, an altered efficiency in ATP production (ATP produced per O₂ consumed), or a higher ATP cost of contraction (ATP consumed per work output) [1]. Therefore, individuals with lower ME values should be less efficient in respect to performance and may therefore be limited in terms of physical activity [2]. Consequently, the evaluating ME may be valuable for the detection of muscle dysfunction and the assessment of any subsequent adaptations in response to training [3].
The results of a recent meta-analysis [4] have confirmed that the inclusion of exercise programs in cardiac rehabilitation reduces cardiovascular mortality and hospital readmissions in coronary artery disease (CAD) patients. For many years moderate continuous training (MCT) has been accepted as the gold standard [5]. However, recent evidence suggests that high intensity interval training (HIIT) may be a better modality for the improvement of aerobic exercise capacity [6]. Recently, with CAD patients, a superiority has been demonstrated of HIIT over MCT with greater increases in VO2peak, as well as the recuperation rates of post-exercise heart rate. This constitutes an emerging prognostic variable of heart disease [7, 8].

Several studies have demonstrated that HIIT results in significant increases in muscle performance in untrained males. These adaptations are likely the result of skeletal muscle adaptations related to metabolic improvement associated with strengthening of muscle. Given that metabolic environment and muscle function may condition muscle performance and muscle energy profile of an individual, it is possible that any improvement in these parameters may be predictive of a subsequent increase in ME [2]. Therefore, ME may also be an important predictor of efficacy and may provide relevant data regarding performance and energy use adaptations in response to training [2].

Studies in young adults and older individuals [3] have reported significant increases in ME in response to HIIT. Considering that HIIT demonstrated a multitude of physiological adaptations that were correlated with performance and health benefits [9, 10], it was hypothesized that this form of exercise may promote greater improvements in ME among CAD patients.

This study aimed to compare the influence of two different exercise protocols (MCT vs. HIIT) as part of a cardiac rehabilitation program on ME values among coronary patients.

Methods

Study population

This is a unicentric, prospective and randomized clinical trial in patients with stable CAD, which was registered on clinicaltrials.gov (NCT02168712). All patients underwent exercise testing with a cycle ergometer including analysis of exhaled gases.

The main study inclusion criteria were 1) Stable New York Heart Association functional class I or II CAD with angina pectoris or myocardial infarction and no heart
failure; 2) No change in medication during the study; 3) Included between 6–12 weeks following the cardiac event, elective percutaneous coronary intervention, or coronary artery bypass grafting; 4) Achieve the first (VT₁) and second (VT₂) ventilatory thresholds in the initial and final (CPET) and 5) Achieve a respiratory exchange ratio ≥ 1.10 in both CPET. Patients who had residual ischemia (by electrocardiogram criteria or angina symptoms), severe ventricular arrhythmias, uncontrolled hypertension, permanent pacemakers, or implanted cardiac defibrillators were excluded.

Patients were randomized on a one-to-one basis to either the MCT or the HIIT group. The mode of exercise training was a cycle ergometer with 40 min per session, 3 days per week (total of 24 sessions over 2 months).

Selected CPET variables and ME measurement were recorded before and after the exercise program. ME (expressed as a percentage) was calculated during an incremental maximal cycling test at stages corresponding to VT₁, VT₂ and VO₂peak. CPET were administered by staff who were unaware of the exercise training group the patients were assigned.

The study complies with the Declaration of Helsinki and was approved by the Local Ethics Committees, and written informed consent was obtained from each participant.

Characteristics and medication use of the patients are shown in Table 1.

**Cardiopulmonary exercise test**

The test was performed on an electro-mechanically braked cycle ergometer (Ergoline900S). The cycling position, which is known to affect energy expenditure, was standardized by adopting a top bar position. Saddle height was adjusted according to the participant’s leg length and knee flexion was between 20 and 30 degrees. Toe-clips were used and participants were instructed to stay seated during the test. Patients were required to maintain a constant pedal cadence between 50 and 70 revolutions per minute.

An individualized exercise protocol was performed in all patients and was tailored to each patient’s physical condition, with gradual increments of 10, 15, or 20 W/min. Required exercise time was between 6 and 12 min in order to respect the proper kinetics of oxygen consumption (VO₂) and to maintain a linear relationship between VO₂, exercise workload and heart rate (HR) during CPET. The same protocol was applied before and after the exercise training program. Throughout the test, patients
were kept under continuous 12-lead electrocardiographic-monitoring, and blood pressure was established every 3 min.

\( \text{VO}_2 \) was determined breath by breath using an automated system (UltimaCardiO2, Medical Graphics Corporation, St. Paul, Minnesota, USA). The gas analysers were calibrated before each test.

The VT\(_1\) and VT\(_2\) were determined following the method of ventilatory equivalents described by Skinner et al. [11]. VT\(_1\) corresponds to an increment of the \( \text{VE/VO}_2 \) ratio without an increased \( \text{VE/VCO}_2 \) ratio, and with an increased concentration of oxygen fraction (PetO\(_2\)). VT\(_2\) corresponds to an increment of the \( \text{VE/VCO}_2 \) ratio and a fractional decrease in the concentration of CO\(_2\) (PETCO\(_2\)).

**Training interventions**

**MCT-Program Designs.** To design the intensity prescription in MCT-program, the HR reached at VT\(_1\) were used and obtained during the pre-training CPET. During the second month, the intensity of the exercise was adjusted, increasing to a training HR that corresponded to VT\(_1\) plus 10% [7, 8].

**HIIT-Program Designs.** To design the HIIT-program, the steep ramp test (SRT) protocol was used according to the methodology described by Meyer et al. and described by the present work group in several articles [7, 8]. The maximum exercise load achieved (watts), was the exercise parameter used to design the HIIT-program for each patient. In the first month of training, 20-s repetitions at an intensity corresponding to 50% of the maximum load reached with the SRT (peak intervals) were followed by 40-s recovery periods at 10%. In the second month of training, the intensity of exercise was adjusted using the results of a new SRT.

The total duration of both modalities of training was 40 min per session throughout the exercise program (including warm-up and cool-down). Table 2 summarizes the exercise time and intensity progression for both MCT and HIIT. Patients enrolled in the study participated in other activities established in this cardiac rehabilitation program that were aimed at managing psychological stress and learning about cardiac health habits. They were also taught to devise a home walking program for the days on which they did not have to attend sessions in the hospital. The recommended intensity of walking was a perceived exertion of 11 to 13 on the Börg Scale.
Energy consumption and mechanical efficiency calculations

$\text{VO}_2\text{net}$ was obtained by subtracting resting $\text{VO}_2$ from total $\text{VO}_2$ at each exercise stage. The net energy expenditure (EE) in Watts was calculated as follows [12]: 

\[
(4.94 \times \text{RER} + 16.04) \times (\text{VO}_2\text{net}, \text{in mL/min}) \times 60^{-1}.
\]

ME was also calculated in net terms as follows [13]: work produced in Watts $\times$ (EE net, in Watts$^{-1}$) $\times$ 100$^{-1}$. EE and ME were obtained at intensities corresponding to VT$_1$, VT$_2$ and at $\text{VO}_2\text{peak}$. This method allowed a comparison of these variables in terms of relative exercise intensity[3].

Safety of the exercise training programs

To verify the safety of using this kind of aerobic exercise training, a daily record was made of any incidents or adverse effects that could limit the planned exercise.

Statistical analysis

Quantitative variables were described using means and standard deviations. The normality of the data distribution was determined using the Kolmogorov-Smirnov test. To evaluate the effect of each exercise protocol on the quantitative variables, pre- and post-program values were compared using the Student dependent samples $t$-test. The effect was measured in absolute terms via the difference between the post-program values and those obtained before training. These changes were described with the mean and standard deviation. Comparisons between the two training programs were made using the Student $t$ test in the case of quantitative variables and using the $\chi^2$ test of association or Fisher exact test for qualitative variables. All comparisons were made using two-tailed tests, and the level of significance was set at $p < 0.05$. All statistical tests were performed using commercially available software (SPSS, Version 22.0, Inc., Chicago, IL, USA).

Results

A total of 110 patients were included and studied (53 patients in MCT-group and 57 patients in HIIT-group). At the start of the study, there were no significant differences between the groups with regard to clinical characteristics or medication use (as shown in Table 1).

Training data
The intensity of exercise in the MCT-group in the first month was 62.9% ± 7.6% of the VO₂peak reached during the initial CPET (corresponding to the VT₁) and 69.8% ± 8.8% in the second month (corresponding to VT₁ + 10%). The exercise workload applied at the peak intervals in the HIIT-group using the Meyer et al. [14] methodology was 108.3% ± 20.7% (first month) and 126.1% ± 27.8% (second month) of the maximum load reached in the initial CPET, corresponding to 50% of the SRT in the first and second month. The resulting HR during first and second month in HIIT-group was between VT₁ and VT₂.

**Cardiopulmonary exercise test**

The exercise effort test results for both groups can be seen in Table 3. After 8 weeks of training both exercise programs significantly increased their VO₂peak, the peak exercise workload achieved and the total time of the exercise effort test with a greater increase in the HIIT-group (p < 0.05).

The VO₂ at VT₁ and VT₂ significantly increased in both groups, with a significantly higher increase in the HIIT-group (p < 0.05). The power at VT₁ significantly increased in both groups, with a greater increase in the HIIT-group (p < 0.01), but the power at VT₂ only significantly increased in HIIT-group (p < 0.001).

**Energy expenditure and mechanical efficiency values**

Energy expenditure determined at VT₁, VT₂ and at VO₂peak (Table 3) increased significantly post-training compared to baseline values in both groups but with a significantly higher increase in the HIIT-group.

Mechanical efficiency measured at VT₁, VT₂, and at VO₂peak is reported in Table 3. At VT₁, ME significantly increased in both groups, with a greater increase in the HIIT-group (p < 0.01). The ME at VO₂peak and VT₂ only significantly increased in the HIIT-group (p < 0.001).

**Safety of the training intervention**

No incidents or adverse events were recorded that limited the ability of patients to perform the prescribed exercise in either training program.

**Discussion**
According to available research, this study is the first to examine ME changes in response to 8 weeks of HIIT in patients with CAD. The most relevant finding of the present research was a greater increase in ME of the HIIT-group over MCT-group in intensities related to VT1, and a significant increase in the ME at VO2peak and VT2 in the HIIT-group alone.

Exercise carried out at an intensity greater than VT2 necessitated an increase of energy contribution of the glycolytic pathway, even when oxidative energetic provision is predominant. Glycolytic activation disturbs the internal cell environment of the muscles involved in the exercise. This means that the mechanism of the excitement-contraction is negatively affected, progressively contributing to the onset of muscular fatigue. This process is related to the muscular capacity to work, and therefore negatively affects mechanical efficiency.

HIIT is a training system that has as its main objective the improvement of VO2peak, but due to the relative high intensity which is applied (> VT2), it is also the cause of improvements related to glycolytic metabolism in type II muscular fibres. This provides an improvement in energetic efficiency, in the development of strength and in resistance to fatigue, meaning an improvement in ME.

A relatively high cost of ATPs for muscular contraction is the main cause of the low ME observed in many patients with a cardiovascular pathology [1]. In this context, the improvement in ME (a lower cost of ATPs for the same muscular effort applied) is essentially determined by the improvement of ATP consumption from myosin-ATPase and noncontractile processes related to ion transport associated with the contraction-relaxation cycle (mainly calcium ATPase and to a lesser extent due to Na-K-ATPase). Therefore, any improvement of one of these processes would explain the fall in energy cost of contraction at any exercise intensity level (e.g. VT1, VT2, VO2peak) [1].

The energy required to sustain a given bicycle workload has been previously shown to be correlated with body mass [15, 16]. In the present study, bodyweight decreases in the same proportion in the two training groups during the intervention period, which rules out body mass as an influential factor in the observed modification of ME in the HIIT-group.

A lower O2 for the same production of power during the bicycle workload could be the result of: 1) A lower ATP cost of the muscular contraction for the same production of effort (an improvement in muscle contraction efficiency); and/or 2) A lower VO2 for the same level of ATP oxidative resynthesis (an improvement in
mitochondrial efficiency). HIIT was able to increase VO$_2$peak, maximum load (Wmax) and ME to a greater extent, especially by improving the internal cell environment of the muscles active during exercise. However, some authors are of the opinion that the typical short-term adaptations to endurance training such as increased oxygen delivery [17], muscle capillarization and mitochondrial content [18], among others, have a limited impact on ME, meaning that improvements in muscle motor function cannot be excluded as a key element in the improvement of ME.

Moreover, type II muscle fibres have been demonstrated to be substantially less efficient than type I fibres during cycling, as reflected by higher VO$_2$ in performing exercise at a given power output [19]. Training in aerobic resistance, such as the one employed in the two groups of this study, especially reinforces the oxidative capacity of type I fibres, being able to sustain greater exercise intensity (greater levels of applied resistance). This fact would result in a greater ME.

In athletes HIIT improvement in “metabolic stability” (e.g. reduced changes in concentrations of muscle metabolites such as ADP, AMP, inosinemonophosphate, creatine, inorganic phosphate, and H$^+$ for a given ATP turnover) may be crucial to limit muscle fatigue, VO$_2$ slow component, and ME impairment occurring at heavy and severe exercise intensities, particularly through a decrease in the ATP use/power output ratio [20]. Although HIIT in coronary patients are of a less demanding nature from a metabolic point of view, the attainment of partial biochemical muscular adaptations which contribute to the improvement in “metabolic stability” may be speculated upon, and with it ME.

Mechanical inefficiency is mainly related to inactivity and it seems that the exercise intolerance promoted by the disease makes the patients less physically active, with a detraining effect on their peripheral muscles [21]. Lower ME indicates that more energy is consumed at a given work output. Therefore, individuals with lower ME values should be less efficient with respect to performance and may therefore be limited in terms of physical activity [2]. Consequently, an improvement in ME in patients with central limitation (cardiac), will contribute to an improvement in exercise capacity.

Different studies have shown greatest VO$_2$peak improvements in HIIT respect to continuous load training [7, 8, 22]. In the present research, both exercise programs significantly increased their VO$_2$peak, with a greater increase in the HIIT-group (difference between group: < 0.05).
In line with the increase of VO\textsubscript{2}peak, the maximum load reached increased significantly more in the HIIT-group (p < 0.001), reflecting an improvement in the base-acid balance with peak loads.

The two groups of this study improved VO\textsubscript{2} and the load (W) associated with VT\textsubscript{1} and VT\textsubscript{2}, with greater improvements in HIIT. Similar results were found in other research studies [23, 24], however other authors have not observed differences associated to the modality of training [7, 8, 25, 26]. While in research conducted by Moholdt and Rognmo the different protocols used may justify the lack of concordance in the results, in previous studies [7, 8], in which a trend for a greater improvement was found in VO\textsubscript{2} and W associated with VT\textsubscript{1}, the greater number of patients included in the study meant that the trend had a statistical significance. Physiological variables associated with VT\textsubscript{2} were observed — not an usual occurrence in clinical trials with cardiac patients. HIIT constitutes a training method with a clear objective of improvement in oxidative or aerobic status, but on attaining intensities greater than VT\textsubscript{2}, it significantly improves the glycolytic and lactate clearance processes.

Focus on HR related to VT\textsubscript{1} and VT\textsubscript{2}, this factor only increased in the HIIT-group, reflecting peripheral metabolic adaptations that allow for sustaining a greater workload. The same results were observed previously[8], suggesting that the HR associated with ventilatory thresholds are perhaps not a valid variable reflecting an adaptation to exercise.

Additionally, HIIT seems to be a safe exercise modality and did not differ in frequency or magnitude of cardiovascular adverse events during exercise training as compared with MCT, as was shown previously [7].

Conclusions

The results of the present research show that the application of HIIT to patients with chronic ischemic heart disease of low risk resulted in a greater improvement in VO\textsubscript{2}peak and in ME at VT\textsubscript{1}, than when MCT was applied. Moreover, only the application of HIIT brought about a significant increase in ME at VT\textsubscript{2} and at VO\textsubscript{2}peak.

Conflict of interest: None declared
References


Table 1. Patient characteristics and medication use.

<table>
<thead>
<tr>
<th></th>
<th>MCT (n = 53)</th>
<th>HIIT (n = 57)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age [years]</td>
<td>58.3 ± 9.5</td>
<td>57.6 ± 9.8</td>
<td>0.752</td>
</tr>
<tr>
<td>Men</td>
<td>42 (79.2%)</td>
<td>50 (87.7%)</td>
<td>0.234</td>
</tr>
</tbody>
</table>
Table 2. Program designs for moderate continuous training (MCT) group or high intensity interval training (HIIT) group.

<table>
<thead>
<tr>
<th>Week</th>
<th>Warm-up time and intensity (MCT and HIIT)</th>
<th>Exercise time and intensity</th>
<th>Cool-down time and intensity (MCT and HIIT)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>12 min (25 watts)</td>
<td>MCT: 15 min at VT1 HIIT: 15 repetition (*)</td>
<td>13 min (25 watts)</td>
</tr>
<tr>
<td>2</td>
<td>10 min (25 watts)</td>
<td>MCT: 20 min at VT1 HIIT: 20 repetition (*)</td>
<td>10 min (25 watts)</td>
</tr>
<tr>
<td>3</td>
<td>7 min (25 watts)</td>
<td>MCT: 25 min at VT1 HIIT: 25 repetition (*)</td>
<td>8 min (25 watts)</td>
</tr>
</tbody>
</table>

ACE — angiotensin converting enzyme; CABG — coronary artery bypass graft; HIIT — high-intensity interval training; LVEF — left ventricular ejection fraction; MCT — moderate continuous training; PCI — percutaneous coronary intervention
*20-second repetitions at 50% of the maximum load reached with the first steep ramp test (SRT) followed by 40-second of recovery period at 10% of the first SRT; **20-second repetitions at 50% of the maximum load reached with the second SRT followed by 40-second of recovery period at 10% of the second SRT

Table 3. Cardiopulmonary exercise stress test variables in moderate continuous training (MCT) group versus high intensity interval training (HIIT) group at maximal intensity, VT1 and VT2.

<table>
<thead>
<tr>
<th></th>
<th>MCT group</th>
<th>HIIT group</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Pretraining</td>
<td>Posttraining</td>
</tr>
<tr>
<td>Total exercise time</td>
<td>8.32 ± 1.73</td>
<td>9.67 ± 2.10**</td>
</tr>
<tr>
<td>[min]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>VO2peak [mL/kg/min]</td>
<td>19.50 ± 5.26</td>
<td>22.47 ± 5.71***</td>
</tr>
<tr>
<td>Maximum HR [bpm]</td>
<td>119.19 ± 16.56</td>
<td>123.58 ± 17.84**</td>
</tr>
<tr>
<td>Maximum power [W]</td>
<td>114.52 ± 33.94</td>
<td>132.64 ± 41.24***</td>
</tr>
<tr>
<td>Maximum RER</td>
<td>1.18 ± 0.08</td>
<td>1.19 ± 0.08</td>
</tr>
<tr>
<td>EE [W]</td>
<td>420.81 ± 153.41</td>
<td>501.15 ± 165.91***</td>
</tr>
<tr>
<td>ME [%]</td>
<td>25.61 ± 4.98</td>
<td>24.91 ± 4.09</td>
</tr>
<tr>
<td>VO2 at VT1 [mL/kg/min]</td>
<td>10.97 ± 2.74</td>
<td>12.05 ± 2.85***</td>
</tr>
<tr>
<td>HR at VT1 [bpm]</td>
<td>86.13 ± 10.38</td>
<td>85.26 ± 10.80</td>
</tr>
<tr>
<td>Power at VT1 [W]</td>
<td>49.18 ± 18.57</td>
<td>59.94 ± 21.23***</td>
</tr>
<tr>
<td>RER at VT1</td>
<td>0.87 ± 0.06</td>
<td>0.86 ± 0.06</td>
</tr>
<tr>
<td>EE [W] at VT1</td>
<td>166.96 ± 74.74</td>
<td>192.41 ± 77.15***</td>
</tr>
<tr>
<td>ME [%] at VT1</td>
<td>24.57 ± 5.94</td>
<td>26.78 ± 7.15**</td>
</tr>
<tr>
<td>VO2 at VT2 [mL/kg/min]</td>
<td>15.39 ± 4.12</td>
<td>17.09 ± 4.34***</td>
</tr>
<tr>
<td>HR at VT2 [bpm]</td>
<td>103.11 ± 15.30</td>
<td>103.92 ± 16.00</td>
</tr>
<tr>
<td>Power at VT2 [W]</td>
<td>87.34 ± 30.79</td>
<td>99.49 ± 33.36</td>
</tr>
<tr>
<td>RER at VT2</td>
<td>1.03 ± 0.06</td>
<td>1.02 ± 0.08</td>
</tr>
<tr>
<td>EE [W] at VT2</td>
<td>294.74 ± 116.70</td>
<td>338.35 ± 124.08***</td>
</tr>
<tr>
<td>ME [%] at VT2</td>
<td>26.76 ± 5.97</td>
<td>27.01 ± 5.17</td>
</tr>
</tbody>
</table>

*Within-group difference < 0.05; ** Within-group difference < 0.01; ***Within-group difference < 0.001; †Between-group difference < 0.05; ‡Between-group difference < 0.01; HR — heart rate; W — watts, RER — respiratory exchange ratio; VT1 — ventilatory threshold 1; VT2 — ventilatory threshold 2; EE — energy expenditure; ME — mechanical efficiency