

# Heart failure with preserved ejection fraction: diagnostic value of HFA-PEFF score, H<sub>2</sub>FPEF score, and the diastolic stress echocardiography

Andrzej Kubicius<sup>1</sup>, Zbigniew Gąsior<sup>2</sup>, Maciej Haberka<sup>2</sup>

<sup>1</sup>Department of Cardiology in Cieszyn, Upper Silesia Medical Center, Medical University of Silesia, Katowice, Poland

<sup>2</sup>Department of Cardiology, SHS, Medical University of Silesia, Katowice, Poland

## Abstract

**Introduction:** *The aim of our study was to compare 3 diagnostic pathways: diastolic stress echocardiography (DSE) based on the ASE/EACVI 2016 guidelines, the 2018 H<sub>2</sub>FPEF score, and the 2019 HFA-PEFF algorithm, in patients suspected of heart failure with preserved ejection fraction (HFpEF).*

**Material and methods:** *The study group included 80 consecutive patients with a clinical suspicion of HFpEF. The H<sub>2</sub>FPEF and HFA-PEFF scores and serum NT-proBNP concentrations were assessed in all the patients before they were sent for DSE.*

**Results:** *The DSE-based pathway confirmed HFpEF in 17 (21%) patients, the HFA-PEFF algorithm in 43 (54%), and H<sub>2</sub>FPEF scoring in 4 (5%) patients. The ROC analysis showed that HFA-PEFF score > 5 predicts a DSE-positive test with a sensitivity of 70.5% and a specificity of 65%, (AUC = 0.711,  $p = 0.002$ ) with a negative predictive value of 89.1% and positive predictive value of 35.3%. The H<sub>2</sub>FPEF score > 3 had a negative predictive value of 90%, a positive predictive value of 29.8%, and predicted positive DSE result with a sensitivity of 82.3% but rather poor specificity of 47.6% (AUC = 0.692,  $p = 0.004$ ). Both H<sub>2</sub>FPEF and HFA-PEFF showed similar predictive values (AUC) in the prediction of positive DSE test ( $p = ns$ ).*

**Conclusions:** *The HFA-PEFF score overestimated the rate of HFpEF in comparison to DSE and the H<sub>2</sub>FPEF score. The H<sub>2</sub>FPEF and HFA-PEFF scores showed only modest predictive values of the positive DSE and had a diagnostic power to rule out the HFpEF.*

**Keywords:** diastolic stress echocardiography, H<sub>2</sub>FPEF, HFA-PEFF, HFpEF

## Introduction

Heart failure with preserved ejection fraction of the left ventricle (HFpEF) is diagnosed in more than 50% of subjects with heart failure, and its prevalence has been growing in recent years [1, 2]. The major risk factors for HFpEF are age, diabetes, hypertension, and coronary heart disease. There is a difference in the prevalence between HFpEF and heart failure (HF) with reduced ejection frac-

tion (HFrEF) increasing with the patient's age. In western countries, it has become a predominant type of HF in the population over 65 years old, accounting for more than 70% of individuals [3, 4].

So far, there has been poor evidence for the benefit of pharmacological treatment in reducing morbidity, mortality, and HF hospitalizations in those patients. [5] However, the results of recent studies with sodium-glucose cotransporter-2 (SGLT2) inhibitors are very promising [6, 7].

**Address for correspondence:** Andrzej Kubicius, Department of Cardiology in Cieszyn, Medical University of Silesia, Bielska 4 St., 43-400 Cieszyn; tel.: +48 505054641; e-mail: [a.kub@interia.pl](mailto:a.kub@interia.pl)

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There is still a great need for a simple algorithm to establish a diagnosis of HFpEF and define the group of patients who would benefit from the appropriate treatment. Therefore, there are several diagnostic definitions and algorithms for HFpEF provided in various papers [8–11]. It reflects their limitations and may affect the proper diagnosis. Heterogeneity of echocardiographic parameters and clinical characteristics within study groups depending on the age, body mass index (BMI), heart rhythm, kidney function, and comorbidities determine the lack of single parameters with a clear cut-off value for HFpEF diagnosis [12]. The ASE/EACVI 2016 guidelines for diastolic dysfunction (DD) diagnosis and left atrial pressure (LAP) assessment include relatively simple parameters. However, there are still a lot of patients with indication for stress echocardiography (SE) due to indeterminate conclusion of transthoracic echocardiography (TTE) [10].

Reddy et al. provided the H<sub>2</sub>FPEF score based on a cohort of patients with dyspnea and preserved LVEF scheduled for exercise invasive right heart catheterization (RHC) [13]. The HFA-PEFF algorithm is another stepwise approach provided by Pieske et al. [11]. However, both algorithms may provide different conclusions in the same individuals [12].

The aim of our study was to compare 3 diagnostic pathways in patients with a suspected HFpEF. The secondary aim was to assess the predictive values of HFA-PEFF and H<sub>2</sub>FPEF scores towards the positive DSE test.

## Material and methods

The study group included 80 consecutive patients referred to diastolic stress echocardiography (DSE) due to a suspicion of HFpEF based on clinical symptoms and TTE according to ASE/EACVI guidelines [10].

The exclusion criteria were as follows: systolic dysfunction defined as LVEF < 50%, severe DD considered as restrictive filling pattern in TTE at rest, significant valve disease (at least moderate-to-severe regurgitations and at least mild valvular stenosis), atrial fibrillation during evaluation, severe chronic kidney disease (GFR < 30 ml/min × 1.73 m<sup>2</sup>), acute cardiovascular diseases in the prior 8 weeks (e.g., acute coronary syndrome, acute pulmonary embolism, stroke), and significant chronic pulmonary diseases and acute infectious disease in the prior 4 weeks.

A routine TTE focused on DD evaluation according to the ASE guidelines [10, 14] was per-

formed in all subjects at enrollment. The following parameters were assessed: average E/e' > 14, septal e' velocity < 7 cm/s or lateral e' velocity < 10 cm/s, TR velocity > 2.8 m/s, and LA volume index > 34 ml/m<sup>2</sup>. If more than 50% were positive, the DD was confirmed. Otherwise, the DD was found to be indeterminate (50% of abnormal parameters) or normal (< 50%).

Afterwards, all the subjects were scheduled for SE using a treadmill ergometer (Aspel, Cardiostest B612, Poland) limited by symptoms and fatigue, according to the ASE clinical recommendation [15]. TTE was performed at rest and just after the exercise. The image acquisitions were started within the first minute of the rest. DSE test was considered positive when the following conditions were met: average E/e' > 14 and peak TR velocity > 2.8 m/s [10].

The Philips HD15 ultrasound system (USA, Bothel, WA 98021) was used in all cases, and anonymized echocardiographic data were stored digitally. All the DSE tests were performed by one operator and analyzed offline blinded to the lab tests results.

The blood samples were collected in all the study patients to assess serum NTproBNP concentrations (BioVendro R&D, Cz).

## HFpEF diagnostic pathways

There were 3 diagnostic pathways used in the study group: DSE based on ASE/EACVI 2016 guidelines, the 2018 H<sub>2</sub>FPEF score, and the 2019 HFA-PEFF algorithm [10, 11, 13].

According to the H<sub>2</sub>FPEF score, the following clinical and echocardiographic data were obtained: BMI > 30 kg/m<sup>2</sup> — 2 points, hypertension treated with at least 2 antihypertensive medicines — 1 point, history of paroxysmal or persistent atrial fibrillation — 3 points, systolic pulmonary artery pressure (sPAP) > 35 mmHg, assessed from Doppler echocardiography — 1 point, age > 60 years — 1 point, and E/e' ratio > 9 — 1 point. A total score of 0–1 points was considered as unlikely for HFpEF determination, while score of 5–6 points were considered as positive for HFpEF diagnosis, and an intermediate score of 2–4 points were considered as a requirement for further evaluation [13].

The HFA-PEFF score assessment required the following parameters:

- functional major: for age < 75 years: septal e' < 7 cm/s or lateral e' < 10 cm/s, for age < 75 years: septal e' < 7 cm/s or lateral e' < 10 cm/s, average E/e' ≥ 15; TRV > 2.8 m/s (sPAP > 35 mmHg),

- functional minor: average E/e' 9–14,
- morphological major: LAVI > 34 ml/m<sup>2</sup> or RWT > 0.42 and LVMI: men ≥ 149 g/m<sup>2</sup> women ≥ 122 g/m<sup>2</sup>,
- morphological minor: LAVI 29–34 ml/m<sup>2</sup> or RWT > 0.42 or LVMI: men: 116–149 g/m<sup>2</sup>, women: 96–122 g/m<sup>2</sup> or LV wall thickness ≥ 12 mm,
- biomarker major: NT — pro BNP: > 220 pg/ml and minor: NT — pro BNP: 125–220 pg/ml.

The final score was obtained by adding 2 points for one major criterion from each domain or one point for minor criterion, respectively. We did not use the global longitudinal strain criterion because it was not evaluated in the study. All the patients with atrial fibrillation at the time of enrollment were excluded from the study. The likelihood of HFpEF diagnosis was assessed as low with total score of 0–1 points, intermediate with 2–4 points, and high with 5–6 points.

The study was conducted in accordance with the principles of the Declaration of Helsinki and the Local Ethics Committee. The study was approved by the Ethics Committee of the Medical University of Silesia in Katowice. This work was supported by a non-commercial research grant from the Medical University of Silesia (PCN-1-102/N/1/Z).

### Statistical analysis

Qualitative parameters are presented as numbers and percentages. Distributions of continuous variables were analyzed using the Kolmogorov-Smirnov test. All the continuous variables that are normally distributed are presented as means and standard deviations (SD) and non-normally distributed as medians and interquartile ranges. Student's t-test, the Mann-Whitney U test, and the  $\chi^2$  test were used where appropriate to test the differences among parameters and between groups. To determine the best cut-off of baseline parameters, the receiver operating characteristic (ROC) curves were used providing sensitivity, specificity, and optimal predictive values for diastolic dysfunction. A p value < 0.05 was considered statistically significant. Statistical analysis was performed using MedCalc software (version 19.1).

### Results

The study group included 80 patients (mean [SD] age: 69 [8]; 25% males) with dyspnea (NYHA II — 70; III — 10) and the following risk factors: hypertension (96%), obesity (56%), diabetes (41%), coronary artery disease (10%), chronic kidney

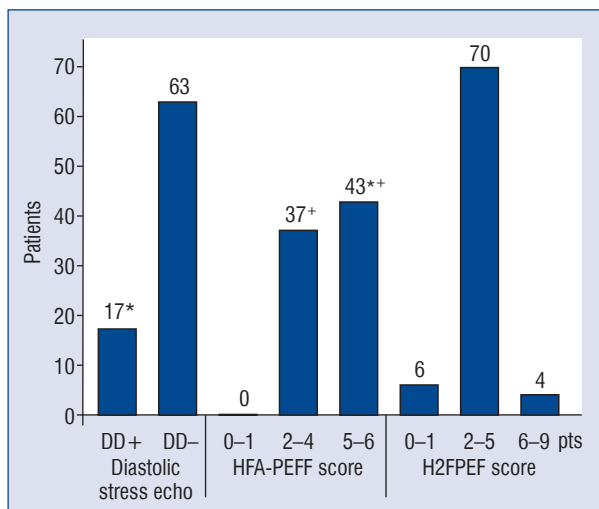
**Table 1.** Clinical characteristics of the study group.

|  |                       |
|--|-----------------------|
| Age, years, mean (SD)                  | 69 (8.1)              |
| Males, n (%)                           | 20 (25)               |
| BMI, kg/m <sup>2</sup> , mean, (SD)    | 31 (4.9)              |
| normal weight/overweight, n, (%)       | 5 (6.2)/30 (37.5)     |
| class I obesity, n, (%)                | 24 (30)               |
| class II obesity, n, (%)               | 17 (21.3)             |
| class III obesity, n, (%)              | 4 (5)                 |
| HR, (SD)                               | 74.2 (10.4)           |
| SBP/DBP, (SD)                          | 131.2 (15.8)/77 (8.4) |
| <b>NYHA class</b>                      |                       |
| II, n, (%)                             | 70 (87.5)             |
| III, n, (%)                            | 10 (12.5)             |
| <b>Medical history</b>                 |                       |
| coronary disease, n, (%)               | 8 (10)                |
| hypertension, n, (%)                   | 77 (96)               |
| diabetes, n, (%)                       | 33 (41.3)             |
| chronic kidney disease, n, (%)         | 22 (27.5)             |
| history of atrial fibrillation, n, (%) | 6 (7.5)               |
| current smoker, n, (%)                 | 5 (6.3)               |
| <b>Medication</b>                      |                       |
| Beta-blockers, n, (%)                  | 70 (87.5)             |
| ACE-I or ARB, n, (%)                   | 67 (83.8)             |
| Aldosterone antagonists, n, (%)        | 5 (6.3)               |
| Diuretics, n, (%)                      | 34 (42.5)             |
| Calcium antagonists, n, (%)            | 37 (46.3)             |
| Antidiabetic drugs/ Insulin, n, (%)    | 17 (21.3)/8 (10)      |
| Statins, n, (%)                        | 60 (75)               |

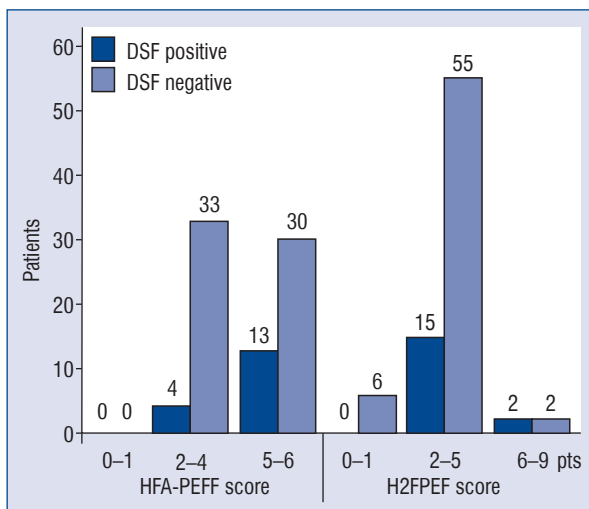
disease (26%), and history of atrial fibrillation (7.5%) (Table 1).

The DSE-based pathway confirmed HFpEF in 17 (21%) patients. The HFA-PEFF algorithm including NT-proBNP concentration showed a high HFpEF probability in 43 (54%) patients, and while using H<sub>2</sub>FPEF scoring only 4 (5%) patients from the study group met the criteria of HFpEF high likelihood (Figure 1).

The intermediate probability of HFpEF was found in 37 patients (46%) according to the HFA-PEFF score and 70 patients (87%) according to the H<sub>2</sub>FPEF score. The diagnosis of HFpEF was ruled out in 6 patients (7%) according to H<sub>2</sub>FPEF algorithm.



**Figure 1.** The DSE, HFA-PEFF and H2FPEF scores in the study group; \*p < 0.0001; \*\*p = 0.31.



**Figure 2.** The H<sub>2</sub>FPEF and HFA-PEFF scores and the DSE test result.

**Table 2.** NT-proBNP concentrations according to DSE and HFA-PEF score result.

| Variable       | NTproBNP (pg/ml), median (IQR)            |  | p-value    |
|----------------|---|--|------------|
| DSE            | DD (+) n = 17<br>443.8 (316.2–781.2)      | DD (-) n = 63<br>240.9 (142.1–438.7)     | p = 0.007  |
| HFA-PEFF Score | 5–6 points, n = 43<br>440.1 (266.1–620.2) | 3–4 points, n = 37<br>160.2 (82.2–225.1) | p < 0.0001 |

DSE — diastolic stress echocardiography; DD — diastolic dysfunction; NT-proBNP — n-terminal pro B-type natriuretic peptide.

The prevalence of positive DSE test results in the group of intermediate HFpEF probability according to the HFA-PEFF and H<sub>2</sub>FPEF approach was 11% (4 out of 37) and 21% (15 out of 70), respectively. Finally, a positive DSE test was found in 30% (13 out of 43) of patients with a high HFpEF probability (HFA-PEFF) and 50% (2 out of 4) of individuals assessed in H<sub>2</sub>FPEF score (Figure 2).

There was a significant difference in NT-proBNP concentrations between groups with positive and negative DSE test result and moderate or high HFpEF probability assessed with HFA-PEFF score (Table 2).

We found a significant difference in echocardiographic DD parameters both in rest and stress between groups with a high HFpEF probability (HFA-PEFF score of 5–6) and a borderline probability (HFA-PEFF score of 4–5). The results are shown in Table 3.

Most of the study patients (70) were found to have an H<sub>2</sub>FPEF score between 2 and 5 points. Therefore, the H<sub>2</sub>FPEF score was not included in the analysis of NT-proBNP or echocardiographic parameters.

The ROC analysis showed that HFA-PEFF score > 5 predicted a positive DSE test with a sensitivity of 70.5% and a specificity of 65%, (AUC = 0.711, p = 0.002) with a high negative predictive value of 89.1% and a relatively low positive predictive value of 35.3%.

However, H<sub>2</sub>FPEF score > 3 had a very high negative predictive value of 90%, a positive predictive value of 29.8%, and predicted positive DSE test result with a high sensitivity of 82.3% but rather poor specificity of 47.6% (AUC = 0.692, p = 0.004).

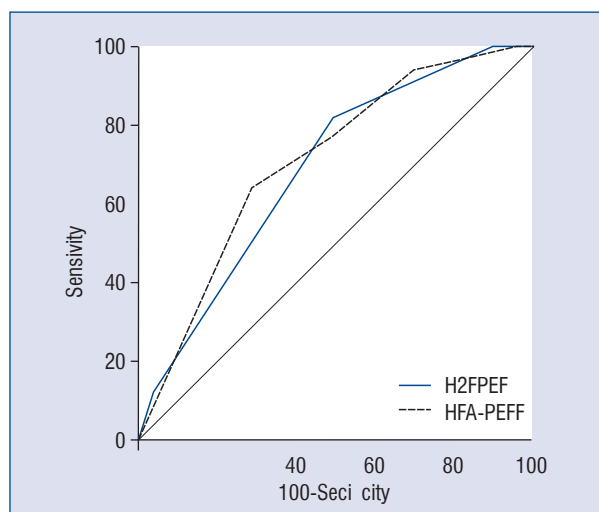
Both H<sub>2</sub>FPEF and HFA-PEFF showed similar predictive values (AUC) in the prediction of positive DSE test (p = ns) (Figure 3).

### Discussion

Our study showed that different algorithms of HFpEF diagnosis may give divergent results. A considerable number of patients within the indeterminate group assessed with ASE/EACVI and H<sub>2</sub>FPEF algorithm had a high probability of HFpEF using the HFA-PEFF score. The HFA-PEFF

**Table 3.** Echocardiographic parameters and HFA-PEFF scores.

| Variable  | HFA PEFF score 5–6 (n = 43) | HFA PEFF score 4–5 (n = 34) | Difference (95% CI)   | p-value  |
|---|-----------------------------|-----------------------------|-----------------------|----------|
| E/e' mean rest mean (SD)                              | 13.0 (4.4)                  | 10.1 (2.6)                  | -2.9 (-4.6 to -1.2)   | 0.001    |
| E/e' mean stress mean (SD)                            | 15.6 (4.4)                  | 12.3 (3.2)                  | -3.3 (-5.1 to -1.5)   | 0.0004   |
| LA volume index rest (ml/m <sup>2</sup> ) mean (SD)   | 41.5 (7.8)                  | 30.2 (6.8)                  | -11.3 (-14.6 to -7.9) | < 0.0001 |
| LA volume index stress (ml/m <sup>2</sup> ) mean (SD) | 37.6 (9.0)                  | 32.5 (9.0)                  | -5.0 (-9.1 to -0.9)   | 0.0176   |
| TRPG rest (mmHg) mean (SD)                            | 18.5 (17.7)                 | 10.7 (14.8)                 | -7.8 (-15.4 to -0.3)  | 0.0423   |



**Figure 3.** ROC analysis: comparison of H<sub>2</sub>FPEF and HFA-PEFF predictive values (p = ns)

score provides the highest rate and the H<sub>2</sub>FPEF provides the lowest rate of HFpEF patients in the same group.

Both H<sub>2</sub>FPEF and HFA-PEFF algorithms have high negative predictive values towards a positive DSE test result, but their positive predictive power is rather poor. Moreover, we found that DSE and HFA-PEFF conclusions are concordant with NT-proBNP concentrations.

We showed that both HFA-PEFF and H<sub>2</sub>FPEF have rather moderate accuracy in the prediction of a definite HFpEF diagnosis with a positive DSE. The study of Amanai S. et al. was designed to compare H<sub>2</sub>FPEF and HFA-PEFF scores in the prediction of reduced aerobic capacity and to assess a correlation between both scores with echocardiographic measurements. While the H<sub>2</sub>FPEF score

was found to predict a reduced aerobic capacity (AUC 0.71, p = 0.0005), the HFA-PEFF score failed to show a predictive value [16].

Both algorithms have their strengths and limitations, which may result in different scores obtained in the same patient [12]. There is still a need for further evaluation of their clinical values and even some modifications [17]. However, their predictive value for cardiac mortality and cardiac-related events is shown in HFpEF patients [18–20].

NT-proBNP serum concentrations are associated with DD, symptoms, and long-term outcomes [21–23]. It was also noted that NT-proBNP levels are increased in patients with increased exercise filling pressures and exertional dyspnea [24]. However, Anjan et al. showed normal BNP levels in 29% of symptomatic outpatients with HFpEF who had elevated pulmonary capillary wedge pressures. Finally, it was concluded that although BNP was useful as a prognostic marker in HFpEF, normal BNP did not exclude the outpatient diagnosis of HFpEF [25]. There is also the paradox of relatively low NT-proBNP levels in HFpEF patients with obesity [26].

In the general population, atrial remodeling assessed with LAVI is closely associated with the severity of DD, which is most predictive of future death [27]. Among patients without atrial fibrillation or heart valve disease, LAVI > 34 mL/m<sup>2</sup> independently predicted death, heart failure, AF, and ischaemic stroke [28, 29]. The range of LAVI within 29–34 mL/m<sup>2</sup> is considered as a minor criterion because it represents the upper limit in healthy subjects [11]. Similarly abnormal values of relative wall thickness > 0.42, left ventricle hypertrophy, and LV wall thickness ≥ 12 mm are scored with one point, although their direct correlation

with LVFP is not evident. This may cause lower HFA-PEFF algorithm specificity in some aspects. The H<sub>2</sub>FPEF model was derived from the results of retrospective analysis of patients undergoing invasive exercise testing for the evaluation of unexplained dyspnea and validated in a test cohort with robust performance (AUC 0.886) [13].

The HFA-PEFF score is a systematic approach based on the experts' consensus, which was validated mostly with the noninvasive parameters [30]. That is why its "overoptimistic" results were questioned in the review by Kristensen et al. [31]. In the study assessing the HFA-PEFF score against invasive hemodynamic parameters, a moderate predictive value (AUC = 0.73) was found. In the same study, 60% of individuals with intermediate and 91% of patients with high H<sub>2</sub>FPEF scores met HFpEF invasive criteria. The cut-off point of  $\geq 5$  showed a sensitivity and specificity of 31% and 92%, and the positive and negative predictive values were 81% and 55%, respectively, with a moderate AUC of 0.74 [32]. Reddy et al. showed that the H<sub>2</sub>FPEF score had a significantly greater predictive value for PCWP/cardiac output ratio compared with the HFA-PEFF score [33].

There is a great deal of evidence that DSE may unmask DD in a considerable number of patients with exertional symptoms [17, 34–36]. The expected individual cardiac output can be achieved only at the expense of increased LV filling pressures [37]. The TTE parameters were poorly sensitive, identifying only 34% to 60% of subjects with an invasively proven HFpEF. The DSE parameters improved the sensitivity (to 90%) and thus the negative predictive value [38]. Therefore, DSE should be recommended in patients with an indeterminate TTE assessment [10] and with an intermediate HFpEF probability according to the H<sub>2</sub>FPEF or HFA PEFF scores [11, 13].

There is a large diversity of results depending on the clinical characteristics of the study groups and the adopted criteria for evaluating test results [39, 40]. The prevalence of positive results of DSE ranged from a few percent [41] to almost half of the study group [42]. In our study, there were no subjects with a positive DSE in a low-probability group according to both H<sub>2</sub>PEF and HFA-PEFF algorithms. It confirms the high diagnostic value of the clinical scores in ruling out the HFpEF diagnosis [30].

Recent studies provide evidence that LV and LA strain measurements have good correlation with LV filling pressures and diastolic dysfunction degree [43, 44]. Strain assessment would improve

DSE accuracy. We did not apply this method, which we consider a limitation of our study.

## Conclusions

The HFA-PEFF score overestimated the number of patients with HFpEF in comparison to similar results obtained with DSE and the H<sub>2</sub>FPEF score. The H<sub>2</sub>FPEF and HFA-PEFF scores showed only modest predictive values of positive DSE. However, both algorithms were found to have diagnostic power to rule out HFpEF.

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